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Hydrologic controls on abundance and distribution of the endangered
Rio Grande Silvery Minnow in the Middle Rio Grande

by

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20 **Preface**

21 To determine how the Rio Grande Silvery Minnow (RGSM) population of the Middle
22 Rio Grande (MRG) responds to interannual hydrologic variation, the U.S. Fish and Wildlife
23 Service conducted a hydrobiological analysis (HBO) as part of a Biological Opinion regarding
24 management of water resources (U.S. Fish and Wildlife Service 2016). These analyses
25 determined RGSM populations correlate strongly with many hydrologic indices of the magnitude
26 and duration of both high and low flows. An external review of the HBO identified several
27 opportunities refining and expanding the analyses (Budy and Walsworth 2019), particularly
28 focusing on improving the statistical appropriateness and biological realism of the models
29 explored. Here, we incorporate many of the suggested changes based on collaborator and internal
30 Reclamation review of that report to the HBO analyses to explore (1) how RGSM abundance in
31 the MRG responds to changes in annual hydrologic conditions, (2) how RGSM relationships
32 with hydrologic conditions differ spatially in the MRG, and (3) what hydrologic conditions
33 would need to be present for managers to expect to meet recovery thresholds. In the future, the
34 results of these analyses can be used in the development of an adaptive management strategy
35 aimed at managing the trade-offs between off-stream water use and conservation of the RGSM.

36

37 **Executive Summary**

38 Increasing water demand, water development, and on-going climate change have driven
39 extensive changes to the hydrology, geomorphology and biology of arid-land rivers globally. As
40 many native desert fishes have experienced dramatic declines, there is an increasing need to
41 understand how annual hydrologic conditions affect the distribution and abundance of their
42 populations. We analyzed the relationship between annual hydrologic conditions (i.e., spring
43 high flows, summer drying) and the distribution and abundance of the endangered Rio Grande
44 Silvery Minnow in the Middle Rio Grande. We fit a twenty-five year data set (1993-2017) of
45 sampling site catch-per-unit-effort to hurdle models predicting both the presence and density of
46 Rio Grande Silvery Minnow as a function of annual hydrologic metrics. Both presence and
47 density were positively related to spring high flow magnitude and duration and negatively related
48 to summer drying. Additionally, when we included a latent trend in the presence model
49 component, we observed evidence suggesting the strong influence of an unobserved driver
50 operating on a decadal scale periodicity, potentially representing regional climatic variation or
51 spawner-recruit dynamics. The results of our simulation models suggest hydrologic conditions at
52 or near the wettest observed in the data set would be required to produce sufficient Rio Grande
53 Silvery Minnow to meet recovery goals (> 5 RGSM per 100m^2) with 95% confidence in a single
54 year in all reaches. As recovery goals require sustaining these higher densities, such large runoff
55 events would need to recur across multiple years. However, the self-sustaining population target
56 (> 1 RGSM per 100m^2) is likely to be met at more modest flows near the median observed in the
57 dataset. The results of these analyses both inform current management actions and can be used to
58 explore alternative water management approaches in a simulation framework while considering
59 trade-offs between recovery and other management goals.

60

61

62 **Introduction**

63 Freshwater ecosystems are home to a disproportionate degree of global biodiversity.
64 While freshwater habitats cover less than 1% of the Earth’s surface, they contain nearly 9.5% of
65 the planet’s animal species (Dudgeon et al. 2006; Balian et al. 2008; Reid et al. 2019). However,
66 freshwater biodiversity has also experienced a greater rate of decline than that of other biomes
67 (Ricciardi and Rasmussen 1999; Sala et al. 2000; Reid et al. 2019). Rivers are frequently altered
68 to reduce risks to human infrastructure and settlements (e.g., flood control), reduce temporal
69 variation in water availability (e.g., storage), and derive additional benefits from the available
70 water (e.g., irrigation water, hydroelectric power, creation of recreation opportunities). However,
71 hydrologic alterations aimed at achieving social and economic goals often present substantial
72 challenges to native aquatic biota (Poff et al. 1997; Olden and Poff 2005). As native biodiversity
73 is increasingly valued by societies (e.g., U.S. Endangered Species Act, Canadian Species at Risk
74 Act), counteracting the impacts of habitat alterations has become an important focus of research
75 and management efforts (Soule 1985). However, for conservation efforts to be successful, we
76 must identify the most limiting factors and the actions with the greatest potential benefit given
77 logistical feasibility (e.g., Budy and Schaller 2007; Budy et al. 2015; Walsworth and Budy 2015;
78 Mantyka-Pringle et al. 2016).

79 Fishes native to desert rivers are particularly susceptible to the challenges presented by
80 hydrologic alterations (Minckley and Deacon 1991; Olden and Poff 2005). Lacking sufficient
81 precipitation, human settlements in arid climates frequently rely on diversions of water from

82 rivers for agriculture, and large storage or flood control dams are often constructed to reduce the
83 uncertainty presented by flow variability within and among years, as well as mitigate flooding
84 impacts. These modifications result in major changes to the hydrologic conditions of the river,
85 which in turn can drive dramatic geomorphic and biological changes (Poff et al. 1997; Schmidt
86 et al. 2001; Schmidt and Wilcock 2008). Storage and flood control dams reduce the magnitude
87 and duration of spring runoff flows, limiting the availability of high flows responsible for the
88 creation and maintenance of complex in stream habitats, as well as reducing lateral connectivity
89 between channel and floodplain (Junk et al. 1989; Poff et al. 1997; Naiman et al. 2008).
90 However, storage and flood control dams generally increase the magnitude of summer flows, as
91 they release water stored during high flow periods during typically low flow periods. Water
92 withdrawals can reduce the quantity and quality of in-stream habitats available to aquatic species
93 (Xenopoulos et al. 2005; Benejam et al. 2010; Matthaei et al. 2010). As a result of these changes
94 to the hydrology and physical habitat, most native desert fishes have experienced dramatic
95 declines in range and abundance (Minckley and Deacon 1991; Olden and Poff 2005; Budy et al.
96 2015).

97 Once distributed in high densities and across nearly the entire extent of the Rio Grande,
98 the Rio Grande Silvery Minnow (*Hybognathus amarus*; hereafter “RGSM”) is currently
99 restricted to the 240 km of the Middle Rio Grande (New Mexico, USA; hereafter “MRG”;
100 Cowley 2006). The MRG has a long history of human settlement and alteration, and large-scale
101 modifications to habitat and flow throughout the watershed have led to major changes in the fish
102 community (Calamusso and Rinne 1999; Cowley 2006). The Rio Grande Silvery Minnow has
103 declined by an estimated 95% in range and abundance (Bestgen and Platania 1991) and is listed
104 as endangered under the U.S. Endangered Species Act (U.S. Fish and Wildlife Service 1994).

105 The primary threats to RGSM persistence are alteration of the natural hydrograph and resultant
106 changes in geomorphology, driven by development of water infrastructure for flood control and
107 irrigation withdrawals, as well as long-term climatic changes (U.S. Bureau of Reclamation 2016;
108 Stone et al. 2017; Blythe and Schmidt 2018). Hydrologic and geomorphic changes have reduced
109 the quantity, quality and heterogeneity of habitats available (e.g., Swanson et al. 2010; Magaña
110 2012; Archdeacon 2016). Combined with large interannual variation in precipitation (i.e.,
111 snowpack), the geomorphic and hydrologic changes have reduced the frequency at which
112 suitable spawning and rearing conditions exist, as well as increasing the frequency and extent of
113 summer channel drying (Blythe and Schmidt 2018). The interannual variability in snowpack
114 alongside limited storage capability, obligations to private water rights holders, and interstate
115 water compacts complicate the ability of managers to address the hydrologic changes negatively
116 affecting RGSM in the MRG (Hill 1974; O'Connor 2002; Kelly et al. 2007). Nonetheless,
117 exploring and implementing habitat restoration, fish propagation and water management
118 alternatives to promote the persistence and recovery of RGSM is a top priority for the many state
119 and federal agencies operating in the MRG (U.S. Fish and Wildlife Service 2016).

120 Given substantial uncertainty regarding the conditions most limiting to RGSM
121 persistence and recovery, including the potential for hydrologic-based recovery options, there is
122 a need to characterize the relationship between annual hydrologic conditions and RGSM
123 abundance and distribution (U.S. Fish and Wildlife Service 2016). The U.S. Fish and Wildlife
124 Service conducted initial analyses of the hydrologic drivers of RGSM abundance in the MRG as
125 part of a biological opinion on the effects of U.S. Bureau of Reclamation water management
126 activities. Following an external review of the statistical approach used those analyses (Budy and
127 Walsworth 2019), we were contracted to incorporate the analytical changes suggested therein.

128 Our goals were to explore (1) how RGSM abundance in the MRG responds to changes in annual
129 hydrologic conditions, (2) how RGSM relationships with hydrologic conditions differ spatially in
130 the MRG, and (3) what hydrologic conditions would need to be present for managers to expect to
131 meet different management and recovery targets. The results of these analyses and the models
132 developed herein can be used in an adaptive management framework aimed at managing the
133 trade-offs between off-stream water use, dam operations, and the conservation and recovery of
134 RGSM in the MRG. Further, the framework developed for these analyses can be applied to
135 examine the relative conservation potential of alternative management actions targeting other
136 imperiled fishes globally.

137

138 **Methods**

139 *Study Site*

140 The Rio Grande flows from the Rocky Mountains of southern Colorado, through central
141 New Mexico, before forming the border between the United States of America and Mexico along
142 the southern border of Texas and discharging into the Gulf of Mexico. The MRG extends from
143 Cochiti Dam on the upstream end to the upstream extent of Elephant Butte Reservoir on the
144 downstream end in central New Mexico. The MRG is delineated into four reaches separated by
145 diversion dams: (*upstream to downstream*) Cochiti (36.2 river km), Angostura (65.6 river km),
146 Isleta (85.5 river km), and San Acacia (102.3 river km). Due to limits to Tribal land access,
147 RGSM have not been surveyed in the Cochiti reach since 1994 (U.S. Fish and Wildlife Service
148 2016). Therefore, we restricted our analyses to the three downstream reaches for which data have
149 been regularly collected. The number of sample sites per reach has varied and generally
150 increased throughout the period of observation, with lower, less consistent effort before 2001

151 (number of sites per reach ranged from 2 to 8, with most effort in the San Acacia reach),
152 consistent effort between 2001 and 2016 (5 sites in the Angostura reach, 6 sites in the Isleta
153 reach, and 9 sites in the San Acacia reach), and further increased effort beginning in 2017 (a total
154 of 10 sites in each reach; Dudley et al. 2018).

155

156 *Data*

157 The fish community of the MRG has been sampled multiple times annually since 1993
158 (Dudley et al. 2018; data used in this study extend through 2017), with the exception of 1998 and
159 2011. Samples collected in October have been the most consistent across the period of data
160 collection and are the values examined for meeting conservation targets. As such, we limit our
161 analyses to using the October sampling data. Newly recruited age-0 RGSM have survived the
162 harshest summer drying conditions, flow variability is lowest, and are available for capture by
163 sampling crews. The fish community is sampled via seining different habitats within a sample
164 site, with the total area seined recorded for each haul. We pooled all October seine hauls within a
165 sampling site for each year and divided by the total area seined to generate a site-specific index
166 of RGSM density (# of RGSM per 100m²), which reflects the metrics used in RGSM
167 conservation and recovery goals.

168 The hydrobiological (HBO) analyses contained in the 2016 biological opinion (U.S. Fish
169 and Wildlife Service 2016) incorporated many hydrologic metrics in their regressions of RGSM
170 densities, including mean daily discharge, total discharge, number of days above or below
171 different threshold discharge levels, and channel inundation area. Additionally, we calculated
172 and explored the explanatory power of a metric of peak spring high flow timing, defined as the

173 Julian day of year with the greatest 5-day moving average discharge in May and June. All
174 discharge data used in this analysis were from the Albuquerque Central gage (U.S. Geological
175 Survey gage 08330000).

176 In addition to high flow metrics, the HBO incorporated several metrics of summer drying
177 conditions, including number of days the gages were less than different threshold discharge
178 levels correlating with the onset of river drying. Such metrics are insufficient for delineating
179 between extreme drying seasons, as a year with 10 days at 99 cfs discharge will have the same
180 number of days below a threshold of 100 cfs as a year with 10 days at 0 cfs, for example. The
181 habitat conditions experienced by RGSM under these two hypothetical scenarios will be vastly
182 different, yet the conditions are identical by the threshold metric. As such, we developed an
183 alternative metric of drying which would account for both the extent and duration of drying
184 within each reach. Using data of the location of drying in the MRG from the “RiverEyes”
185 program (U.S. Bureau of Reclamation), we calculated the number of mile-days dry per reach per
186 year, by adding the number of miles dry per day across the season. While this metric provides a
187 useful index of summer drying, it does not indicate the actual length and duration of drying. As
188 daily drying data are only available from 2002 to present, we estimated the extent of drying in
189 the preceding years by fitting a linear regression between the square root of mile-days dry from
190 the “RiverEyes” data to the area of the MRG channel that was inundated during the low flow
191 period from July through October (U.S. Fish and Wildlife Service 2016). We fit separate
192 regressions for each reach (San Acacia $r^2 = 0.47$, Isleta $r^2 = 0.30$), resulting in unique drying
193 indices for each reach in each year. The Angostura reach never experienced a measurable degree
194 of drying during the period of “RiverEyes” monitoring, and we thus assumed it did not run dry
195 throughout the extent of the RGSM monitoring data.

196 Pairwise comparisons revealed that many of these flow and drying metrics were highly
197 correlated (Budy and Walsworth 2019), severely limiting the ability to ascribe importance to any
198 single metric. To address this challenge, we conducted a principal components analysis (PCA)
199 incorporating all of the metrics used in the HBO to describe the major axes of variation between
200 years (*additional details and examples in Appendix A*). We subsequently used the first two
201 principal components resulting from this PCA as our integrated flow metrics predicting RGSM
202 distribution and density.

203

204 *Catch Model*

205 We developed a mixed-effects hurdle model incorporating a random-walk latent
206 (unobserved) trend to predict the probability of encountering RGSM during sampling as well as
207 the density of RGSM (catch per 100m² sampled; hereafter “*CPUE*”) given they were
208 encountered. The random effects (i.e., baseline probability of presence in the hurdle component
209 and asymptotic maximum expected CPUE in the catch model) describe unique responses to
210 environmental predictor variables in each reach, generating spatially-heterogeneous predicted
211 RGSM CPUE. Additionally, the model assumes a random capture probability as a function of
212 environmental conditions, reflecting the patchy distribution of RGSM observed in the dataset.

213 Our model incorporated a hurdle component determining whether any RGSM were
214 encountered at a sampling site during October sampling:

$$\text{logit}(p_{ry}) = \alpha_r + \boldsymbol{\beta}_p \boldsymbol{\phi}_{ry} + w_y \quad (1a)$$

$$w_y \sim N(w_{y-1}, \sigma_w^2)$$

$$w_{y=1} \sim N(0, \sigma_w^2)$$

$$\alpha_r \sim N(\mu_\alpha, \sigma_\alpha^2)$$

$$I(C_{ry} > 0) \sim \text{Bernoulli}(p_{ry}) \quad (1b)$$

215 where p_{ry} is the probability of encountering RGSM (i.e., catch is greater than zero) at a sample
 216 site in reach r , year y , α_r is the reach-specific random effect baseline logit-capture probability,
 217 β_p is a vector of estimated parameters, ϕ_{ry} is a vector of environmental predictor variables (i.e.,
 218 principal component scores), w_y is the random walk time series component, μ_α is the global
 219 mean baseline logit capture probability, σ_α^2 is the among reach variance in baseline logit capture
 220 probability, and C_{ry} is the CPUE sampled at site r , year y . This formulation assumes that if any
 221 RGSM are present at the site, at least one will be captured. While the probability of capturing
 222 RGSM likely varies among mesohabitats (due to depth, velocity, connectivity), this model does
 223 not explicitly account for this variation as mesohabitat samples are combined by site in our
 224 analysis. Any variation in capture probabilities among mesohabitat types or differences in
 225 mesohabitat composition among sites will be accounted for in the variance of p_{ry} . Low flow
 226 variation in October allows us to assume that the probability of encountering RGSM will not be
 227 substantially affected by discharge, though any discharge effect that remains will be accounted
 228 for in the variance of p_{ry} .

229 The catch component of the model incorporates a Gompertz function with gamma
 230 distributed errors to predict October RGSM CPUE in each reach (given CPUE is greater than
 231 zero):

$$C_{ry} = K_r e^{-\beta_o e^{-\beta_c \delta_{ry}}} \quad (2a)$$

$$K_r \sim N(\mu_K, \sigma_K^2)$$

$$\sigma_{ry} = cv \times C_{ry} \quad (2b)$$

$$\theta_{ry} = \frac{C_{ry} + \sqrt{C_{ry} + 4\sigma_{ry}^2}}{2\sigma_{ry}^2}$$

$$\gamma_{ry} = 1 + \theta_{ry} C_{ry}$$

$$(C_{ry} | C_{ry} > 0) \sim \Gamma(\gamma_{ry}, \theta_{ry}) \quad (2c)$$

232

233 where K_r is the asymptotic maximum expected CPUE in reach r , which is normally distributed
 234 with an among reach mean of μ_K and variance of σ_K^2 , β_o and β_c are estimated parameters, δ_{ry} is
 235 a vector of environmental variables predicting CPUE, σ_{ry} is the residual standard deviation in
 236 CPUE, cv is the coefficient of variation of CPUE, θ_{ry} and γ_{ry} are the gamma distribution rate
 237 and shape parameters.

238 Importantly, our model assumes that capture probability and catch rates are not impacted
 239 by discharge at the time of sampling. Low flows during sampling may concentrate RGSM in
 240 easily sampled habitats, while higher flows may spread RGSM more broadly. Explicitly
 241 acknowledging CPUE metrics as indices of relative abundance and not linearly-related
 242 proportions of total abundance when interpreting model results limits the potential management
 243 pitfalls from violating the assumption of equal catchability across all flow conditions during
 244 sampling (Budy and Walsworth 2019).

245 In addition to the base model described above, we explored three model structures which
246 altered the base model in minor but meaningful ways: one model removed the hurdle component,
247 one model removed the latent trend estimation, and a third modeled age-0 RGSM abundance
248 only and included an effect of the previous year’s total RGSM CPUE. These models and their
249 results are described more fully in Appendix B, but the broad results and management
250 implications of all of the models are comparable to the base model reported in the main
251 document.

252 We fit the hurdle models in a Bayesian hierarchical framework, with 3 Markov Chain
253 Monte Carlo (MCMC) chains, a burn-in period of 150,000 samples, a monitoring period of
254 150,000 samples, and a thinning rate of 150. We considered models to have reached convergence
255 if all parameters had an “Rhat” value less than 1.1, (Gelman and Hill 2007). We fit all models
256 using the Just Another Gibbs Sampler (JAGS) software (Plummer 2003) with uninformative
257 priors (Table 1), implemented through the R Statistical Computing Environment (R Core Team
258 2018).

259

260 *Simulation Model*

261 To examine the probability of meeting conservation targets under different hydrologic
262 scenarios, we simulated RGSM CPUE from 10 sample sites (i.e., 10 random draws from the
263 model predicted distribution of CPUE) in each reach across a range of hydrologic conditions
264 (i.e., integrated flow metric values) using parameter values drawn from the posterior distribution
265 of MCMC samples from the best fitting model. We then calculated the proportion of simulated

266 years in which recovery targets were met for each reach individually, as well as across all
267 reaches.

268 Additionally, we examined the probability of individual reaches achieving a range of
269 CPUE targets for five consecutive years under contemporary hydrologic conditions. We
270 randomly selected five hydrologic years observed in the data set, five consecutive random walk
271 values from the presence model component output, and examined whether the individual reaches
272 or MRG as a whole met a range of CPUE thresholds from 0 to 5 RGSM per 100m². We
273 conducted the simulation analyses in the R Statistical Computing Environment (R Core Team
274 2018).

275

276 **Results**

277 *Environmental Factors*

278 The first principal component of the PCA of hydrologic predictors used in the HBO
279 explains 70.4% of the variation in the data. Years with large spring flows and less summer
280 drying are characterized by positive values on this axis, while years with small spring flows and
281 more summer drying are characterized by negative values (Figs. 1, 2). We used the scores on the
282 first principal component as our integrated annual “hydrologic index”, broadly characterizing
283 wet years from dry years. As this hydrologic index accounted for over 70% of the annual
284 variation in hydrologic conditions, we used this as the hydrologic predictor variable for both
285 density and presence in our models. The second principal component explained an additional
286 16.9% of the variation in the annual hydrologic conditions. Years with early spring flow peaks
287 and more summer drying are characterized by positive PC2 values, while years with late spring

288 peak flows and less summer drying are characterized by negative PC2 scores (Figs. 1, 2). The
289 scores on the second principal component served as an additional integrated flow metric,
290 characterizing years with delayed spring flows and limited drying from years with early spring
291 flows and more drying, and can be considered a “*flow timing index*”. While we examined a
292 model incorporating both the hydrologic and flow timing indices simultaneously as predictors for
293 both presence and density of RGSM, that model had much lower support by WAIC (Watanabe
294 2010; Gelman et al. 2014). As such, we focus on the model incorporating only the hydrologic
295 index in the main document (*hereafter*, the “base model”), while presenting the model
296 incorporating both indices along with other alternative model results in *Appendix B*.

297

298 *Catch Models*

299 From the base model, we predicted the CPUE of RGSM sampled in October would
300 increase with greater values of our annual hydrologic index (i.e., higher spring flows and less
301 summer drying; Fig. 3a). The probability of encountering RGSM was also positively related to
302 the annual hydrologic index (Fig. 3b). The three reaches of the MRG demonstrated substantial
303 overlap in estimated baseline encounter probabilities (Fig. 4ac) and asymptotic maximum CPUE
304 estimates (Fig. 4bd), though the San Acacia reach demonstrated the highest values for both
305 parameters (4ef) and Isleta demonstrated a significantly greater baseline probability of presence
306 than Angostura (4f). The latent trend impacting encounter probabilities demonstrated an
307 approximately decadal periodic pattern (Fig. 3c), with a low period in the late 1990s and early
308 2000s, as well as during the early 2010s, with periods of higher values intervening.

309 Based on Model 1 predicted CPUEs matched field observations very well (Fig. 5), with
310 only 11 out of 413 observations (2.7%) falling outside of the predicted ranges. For each reach
311 and year, the model predicts many sites will have low CPUE, with smaller probabilities of large
312 capture events occurring. The model also recreated the general patterns of encounter
313 probabilities well for all reaches (Fig. 6), though, unsurprisingly given the relatively small
314 number of sample sites in each reach, the observed proportion of sample sites with non-zero
315 catch sometimes fell outside of the 95% credible intervals for predicted probabilities.

316

317 *Simulation Model*

318 Model simulations suggest hydrologic conditions near the median of those observed
319 during the monitoring period are required for there to be a 95% chance of mean CPUE within
320 and among reaches to be greater than 1 (Fig. 7a), the “self-sustaining population” threshold for
321 the RGSM population, with the exception of in the San Acacia reach. The Angostura reach
322 would require an annual hydrologic index slightly above the median observed value to have a
323 95% probability of having CPUE greater than 1. Angostura has the lowest probability of meeting
324 the CPUE threshold of the three reaches because the majority of site-specific CPUE values are
325 predicted to be small, even during above average flow years (Fig 7b). The Isleta reach is
326 predicted to have larger site-specific CPUE during modest flow years than the Angostura reach
327 (Fig. 7c), and thus is predicted to have a reach-level CPUE greater than 1 with 95% probability
328 during years with hydrologic indices slightly below the observed median values. The San Acacia
329 reach has a 95% of having a mean CPUE greater than 1 under hydrologic indices greater than
330 approximately the 25th percentile, as large (>20 RGSM per 100m²) CPUE at individual sampling
331 sites is predicted to occur sporadically even during modestly low flow years (Fig. 7d).

332 As expected, the probability of meeting CPUE targets across five consecutive years
333 declines with increasing CPUE targets (Figure 8). While none of the reaches are likely to exceed
334 the published down-listing criteria of CPUE >5 RGSM/100m² for five consecutive years, lower
335 targets commonly used for management are more likely to be met. The San Acacia reach is more
336 likely to achieve CPUE targets than the other two reaches across all thresholds explored and is
337 more likely to achieve CPUE targets than the MRG average for threshold greater than ~0.6
338 RGSM per 100m². San Acacia reach has a high probability of meeting current “self-sustaining
339 population” targets of 1.0 RGSM per 100m² for five consecutive years, exceeding this threshold
340 in approximately 60% of simulations. However, both Isleta (~40%) and Angostura (~25%) have
341 much lower exceedance probabilities.

342

343 **Discussion**

344 Successful conservation of imperiled species occupying rivers with highly altered
345 hydrographs will often require a return to more natural flow regimes (Poff et al. 1997; Dudgeon
346 et al. 2006; Reid et al. 2019), but contemporary constraints may require a focus on ecological
347 principles and creative management (Thorpe and Stanley 2011). Here, we demonstrate how the
348 Rio Grande Silvery Minnow (RGSM) is predicted to respond positively in both distribution and
349 abundance to wetter conditions across both spring and summer. Years with larger spring high
350 flow events and less summer drying demonstrated a greater probability of RGSM being captured
351 at each sampling site, as well as higher expected densities when they were encountered. These
352 results support previous assessments of RGSM population responses to hydrologic changes in
353 the MRG (Archdeacon 2016; Dudley et al. 2018; USFWS 2016), but also incorporate a model
354 structure accounting for spatially-heterogeneous relationships, sampling variability, and a more

355 robust presentation of prediction uncertainty. These results can ultimately guide explorations of
356 future management options aimed at providing sufficient habitat conditions to allow persistence
357 and growth of the RGSM population, as well as meet the needs of off-stream water users.

358 Inundated floodplain habitats during spring runoff historically provided RGSM with low
359 velocity spawning habitats and productive rearing habitats for juveniles to grow rapidly (Medley
360 and Shirey 2013), and these habitats may still attract spawning RGSM when available (Hutson et
361 al. 2018; Valdez et al. 2019). Water development and levee construction throughout the basin
362 has reduced the magnitude, extent and duration of spring high flow events, such that floodplains
363 are inundated less frequently and for shorter periods of time (Stone et al. 2017; Blythe and
364 Schmidt 2018), limiting the quantity and quality of available rearing habitat. These changes have
365 combined to reduce the frequency and magnitude of RGSM recruitment events. Additionally, the
366 effect of increased flows on CPUE is stronger for the San Acacia reach than the Isleta reach,
367 which responds more strongly than the Angostura reach, a pattern potentially explained by the
368 different discharges required to inundate floodplain habitats in the three reaches (Tetra Tech
369 2014). Our model results suggest years with smaller spring high flows produce smaller
370 recruitment classes and, thus, lower catch rates in the fall. Similar patterns have been observed in
371 other desert rivers globally (e.g., Propst and Gido 2004; Balcombe and Arthington 2008; Van
372 Haverbeke et al. 2013; Budy et al. 2015).

373 Arid land rivers have experienced increased intermittency in recent decades due to over-
374 allocation of water and drought, with major consequences for native biota not adapted to
375 intermittent conditions (e.g., Gleick 2003; Datry et al. 2014; Allen et al. 2019). In our study,
376 intermittent, but extensive, channel drying in summer also appears to be driving reduced
377 distribution and densities of RGSM in the MRG, as drying metrics loaded strongly onto our

378 integrated annual hydrologic index. Increased extent of drying will reduce the total amount of
379 habitat available to RGSM, potentially increase competition for resources, as well as strand
380 individuals as isolated pools dry up, collectively resulting in both indirect and direct mortality
381 (Lake 2003). While it is difficult to tease apart the effect of spring flows from summer drying in
382 the available dataset due to high correlation between the hydrologic metrics, our model suggests
383 efforts to reduce the amount of drying in the summer would benefit RGSM populations. The
384 extent of drying that needs to be avoided or mitigated in order to maintain conservation targets
385 will likely depend on the magnitude and duration of spring flow events, because lower spring
386 flows produce very weak recruitment, which can then be exacerbated by extensive drying further
387 reducing abundance. Additionally, our modeling results suggests that were extensive drying to
388 occur in the MRG following a large spring high flow event, the benefits realized from a large and
389 successful spawning event would be diminished (*see also results of base model incorporating*
390 *both the hydrologic and flow timing indices in Appendix B*). However, given the data available,
391 the trade-offs between mitigating summer drying conditions versus promoting spring high flows
392 remain uncertain.

393 The ideal, though logistically and socially challenging, approach to teasing apart the
394 effect of summer drying from the magnitude and duration of spring high flows would be multiple
395 adaptive management based experimental manipulations of flows, such as allowing extensive
396 drying following a large spring flow event, or maintaining relatively high flows during summer
397 following a relatively small spring high flow event. The existing observed data provide initial
398 glances into the different effects of spring high flows and summer drying on RGSM. First,
399 RGSM CPUE was very low (zero in Angostura and Isleta, 0.8 in San Acacia) in 2000 after
400 managers maintained in-stream flows despite a small (only 1 day with flows >1500cfs) spring

401 runoff. Additionally, the Angostura reach does not experience drying (during the time frame
402 examined here), yet the CPUE trends in this reach match those of the other two reaches
403 experiencing summer drying. While this pattern may suggest a stronger influence of spring high
404 flows than of drying, the available data provide no information about how much lower CPUEs
405 would have been had Angostura experienced drying. Until sufficient data become available to
406 discriminate the effects of summer drying from spring high flows at the population level, basic
407 biological principles suggest reducing the amount of drying will benefit RGSM summer survival.
408 Nonetheless, our model results highlight the need to manage water across years to cope with
409 variable hydrology across years and the life history of the RGSM, a challenging but not
410 insurmountable concept given the way the MRG is currently operated (e.g., Stanford et al. 1996;
411 Rood et al. 2005).

412 Despite the substantial changes to the river's hydrology and floodplain habitats, our
413 model results suggest, in years with large spring flows and limited summer drying (or when
414 water releases are managed for RGSM spawning; Valdez et al. 2019), the remaining habitat in
415 the MRG is sufficient to produce enough recruits to meet management and recovery targets (>1
416 RGSM per m^2 for self-sustaining population target; > 5 RGSM per m^2 for downlisting target).
417 However, sufficient hydrologic conditions to meet downlisting targets have occurred only
418 sporadically during the period examined here and would need to occur more frequently and
419 across multiple years if recovery goals were to be met. More modest management targets
420 currently in use to avoid extinction are much more likely to be met under the current range of
421 hydrologic conditions. The relationship between annual flows and RGSM density demonstrates a
422 non-linear pattern, indicating increasing CPUE response rates across lower hydrologic indices,
423 while simultaneously suggesting eventual diminishing returns of increasing hydrologic indices

424 given the current configuration of channel and floodplain habitats (i.e., how high flows interact
425 with local geomorphology and levees to inundate floodplain habitats). While these non-
426 linearities are driven by the model formulation and available data here, the process makes
427 intuitive biological sense in the MRG and other large rivers (e.g., Tetra Tech 2014; Robertson et
428 al. 2018). High flows do not inundate valuable floodplain rearing habitats until surpassing bank
429 heights dependent on local geomorphology, and increasing flows once floodplains begin to be
430 inundated will increase the amount of productive rearing habitat available for RGSM (Junk et al.
431 1989; Tetra Tech 2014). However, under current conditions, if flows were to reach the levees,
432 further increased flows would no longer inundate additional, historical floodplain rearing
433 habitats, making existing inundated areas deeper instead. As these hypothetical capacities exist
434 under flow conditions well beyond those observed in the recorded data, their estimated values
435 should be considered with caution. Floodplain restoration activities aimed at increasing the
436 amount of available habitat at lower flow levels should increase RGSM production during years
437 with smaller spring runoff events (Widmer et al. 2010; Valdez et al. 2019). However, the scale of
438 habitat restoration necessary for population level impacts may be extensive (Opperman et al.
439 2010) and would likely require considerable active maintenance if the natural processes creating
440 and maintaining these habitats are not restored (Beechie et al. 2010).

441 Species which are more widely distributed across the landscape are less sensitive to local
442 disturbances (Hanski 1998), as populations can remain productive despite poor conditions at a
443 subset of locations (Schindler et al. 2010; Schindler et al. 2015). Rio Grande Silvery Minnow
444 recovery targets focus not only on catch rates, but also on their distribution across habitats,
445 requiring RGSM to be present at 75% of sampling sites for 5 consecutive years (USFWS 2016).
446 The probability of encountering RGSM at each sampling site was greater in years with greater

447 hydrologic indices, suggesting a broader spatial distribution in these years. This pattern may be
448 driven by several potential (though not mutually exclusive, nor exhaustive) mechanisms. Higher
449 spring flows will inundate the floodplain across a greater percentage of the length of the MRG,
450 thus producing recruits in more locations. Alternatively, the increased abundance of RGSM after
451 large flow years causes RGSM to occupy more locations to find sufficient resources (Fretwell
452 and Lucas 1970; Rosenzweig 1991). At low abundances, RGSM should occupy only the best
453 habitats for growth and survival opportunities. As those habitats become increasingly crowded at
454 larger abundances, the per capita growth and survival opportunities decline, and individuals
455 should seek out alternative habitats (e.g., Fausch 1984; Hedger et al. 2005; McMahon and Matter
456 2006). Increased abundance should thus increase the spatial distribution of RGSM in the MRG
457 as sequentially less beneficial habitats become occupied. Further, years with higher hydrologic
458 indices also generally have less summer drying, which should allow RGSM to maintain their
459 distribution without having to disperse in the face of drying. While any of these processes would
460 result in RGSM being encountered at more locations during years with higher hydrologic
461 indices, they are not the only possible drivers, and the uncertainty of additional stressors presents
462 a valuable opportunity for future studies (Göthe et al. 2019).

463 Incorporating latent trends into time-series analyses can not only explain additional
464 variance not described by measured predictor variables, but also help identify unmeasured
465 drivers of the dynamics of interest, highlighting avenues of future research and adaptive
466 management (e.g., Mills et al. 2013; Cline et al. 2017). The latent trend in our model of RGSM
467 presence demonstrates a periodic pattern to RGSM presence, where years with higher probability
468 of presence than expected from hydrology alone are likely to be followed by years of higher than
469 expected presence, for example. The trend we observed demonstrates periodicity at

470 approximately decadal scales, thus possibly being driven by decadal scale regional climate
471 variation (e.g., Pacific Decadal Oscillation; Mantua and Hare 2002). Alternatively, this pattern
472 could potentially be explained by survival from the previous year (potentially including stocked
473 individuals) impacting the current year's RGSM population. Indeed, the alternative model
474 structure examining the response of age-0 RGSM density to both hydrologic indices and the
475 previous year's abundance found a negative effect of very low previous abundance on the
476 probability of presence at any given site, though the effect diminished as abundance in the
477 preceding year increased (*see Appendix B*). While we have not explored the impact of
478 augmentation activities on RGSM CPUE dynamics, the results of our age-0 model suggest that
479 any stocking effect would be primarily important when abundance in the preceding year is very
480 low. When RGSM occupy a large proportion of their habitats in one year, they may continue to
481 occupy a larger than expected proportion of habitats the next year even in the presence of
482 relatively poor hydrologic conditions. Similarly, if RGSM are restricted to a small proportion of
483 habitats, one year of favorable hydrologic conditions may not restore them to all possible
484 habitats, and thus they may remain at fewer habitats than would be otherwise anticipated. King et
485 al (2015) similarly observed that both concurrent and antecedent flow conditions were important
486 for many species, with the best outcome resulting from an increase in the magnitude of smaller
487 high flow events following lower antecedent flow conditions in the Murray River, Australia.
488 Future research which would complement our study results could explore the environmental or
489 biological conditions which may be driving the latent trend identified in this study. However, the
490 highly managed hydrograph of the Rio Grande from its headwaters through the MRG could
491 make this challenging, as in many years, river flows are decoupled from environmental

492 conditions in the basin due to upstream and local storage and diversion, as well as inter-basin
493 water transfers (Blythe and Schmidt 2018; Budy et al. 2018).

494 While the hydrology of the contemporary MRG is highly altered from the historic
495 conditions to which RGSM have adapted their life history (Cowley 2006; Medley and Shirey
496 2013; Blythe and Schmidt 2018), conditions suitable to producing large recruitment events still
497 occur intermittently. Indeed, our simulations suggest that local RGSM densities can be
498 anticipated to be at or above the target threshold with 95% probability under hydrologic
499 conditions relatively common to the MRG during the period of observation, particularly in the
500 San Acacia reach. While wetter hydrologic conditions would be required to achieve targets in the
501 Isleta and Angostura reaches, as well as for the full MRG segment of the Rio Grande, these
502 flows have been observed in the period of record and could potentially occur more frequently
503 under alternative water management strategies. These results suggest managing the water in the
504 MRG to achieve conservation goals may be attainable under current hydrologic conditions,
505 though doing so will be complicated by the ability to manage flows across multiple years,
506 periodic conditions of multi-year drought, legal barriers presented by local and inter-state water
507 agreements, and changing climatic conditions over longer time frames (Hill 1974; O'Connor
508 2002; Kelly et al. 2007). Using the model developed herein (along with the alternative models
509 presented in *Appendix B*) to explore alternative management approaches can highlight options
510 that have an opportunity to succeed which can then be assessed for their feasibility (*see example*
511 *in Appendix A Figs. S5-8*), an approach which has been applied in other river systems. For
512 example, Zarri et al. (2019) recently used a similar hydrologically driven optimization approach
513 to determine the best strategy to manage dam releases for multiple species with different
514 temperature requirements in the Sacramento River (California, USA). Given that management

515 and conservation resources are limited, future work also complementary to our study could
516 incorporate the costs of management actions in feasibility assessments to aide in sound
517 conservation planning (Evans et al. 2015; Walsh et al. 2020).

518 Understanding how sensitive species respond to changes in their environment allows
519 managers, stakeholders and policymakers to consider the trade-offs of multiple ecosystem goals
520 when setting management plans (e.g., Halpern et al. 2013; Redpath et al. 2013; Song et al. 2019).
521 Many biological, social, and economic goals are being pursued simultaneously in the MRG,
522 often by different agencies operating different control levers. The relationships between RGSM
523 abundance, distribution and MRG hydrology identified in this study provide a valuable
524 framework for stakeholders to explore trade-offs between RGSM conservation and fulfilling
525 obligations to off-stream water users. By exploring trade-offs presented by different water
526 management strategies under future hydrologic scenarios, approaches that provide positive
527 outcomes for multiple management goals may be identified and implemented in an adaptive
528 management framework (Walters and Hilborn 1978; Walters 1986). Explicitly considering the
529 trade-offs between multiple management goals will allow stakeholders in the MRG to make
530 more informed decisions about managing the ecosystem for multiple benefits, including the
531 conservation of endangered species.

532

533

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544

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772

773 **Tables and Figures**

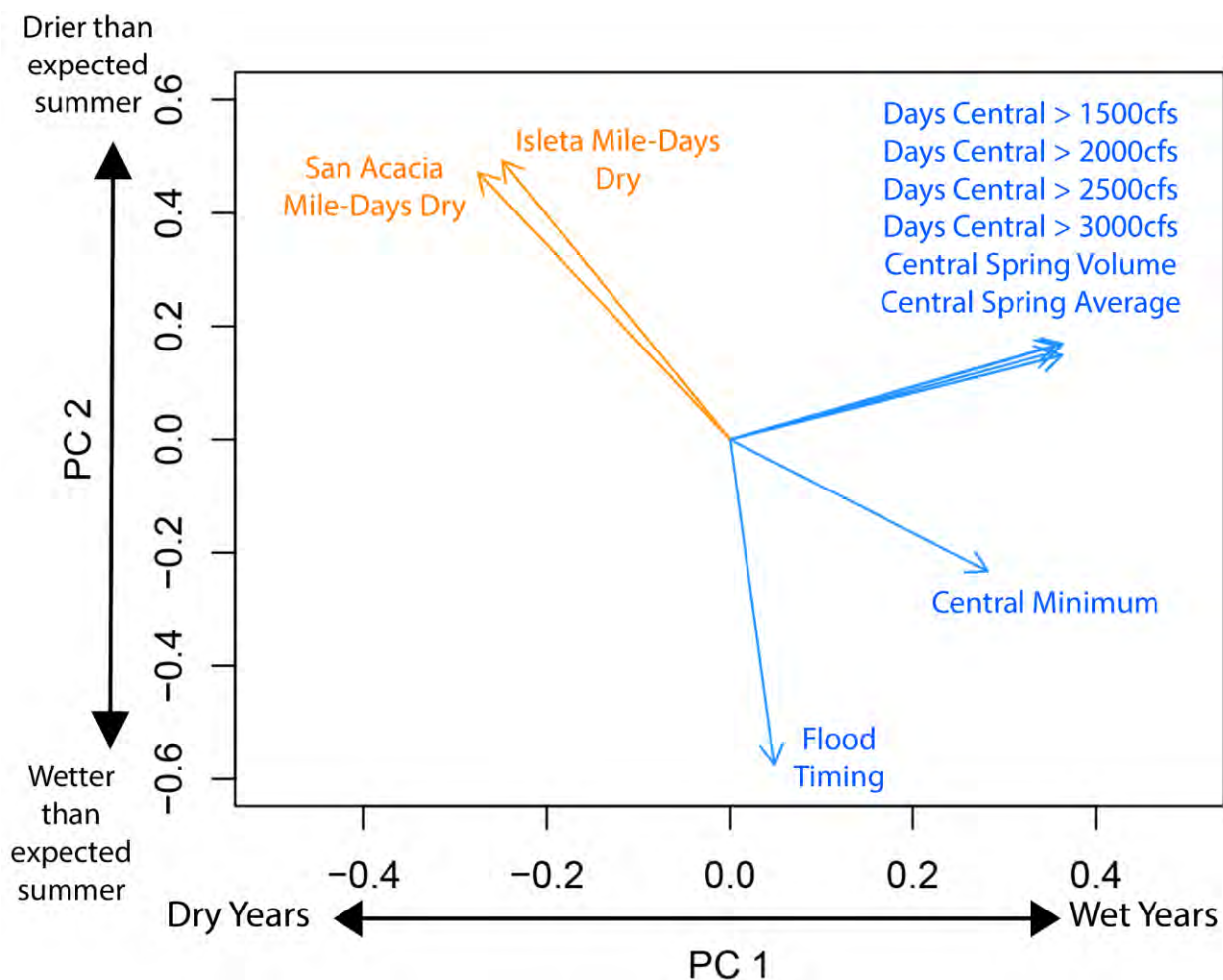
774 **Table 1.** Prior distributions used in the RGSM catch model.

Parameters	Prior
β_c β_p	N(0,1000)
β_0 $\ln(cv)$	N(0,100)
μ_k	N(0,10) T(0,5)
μ_p	N(0,10)
σ_k σ_a σ_w	U(0,10)

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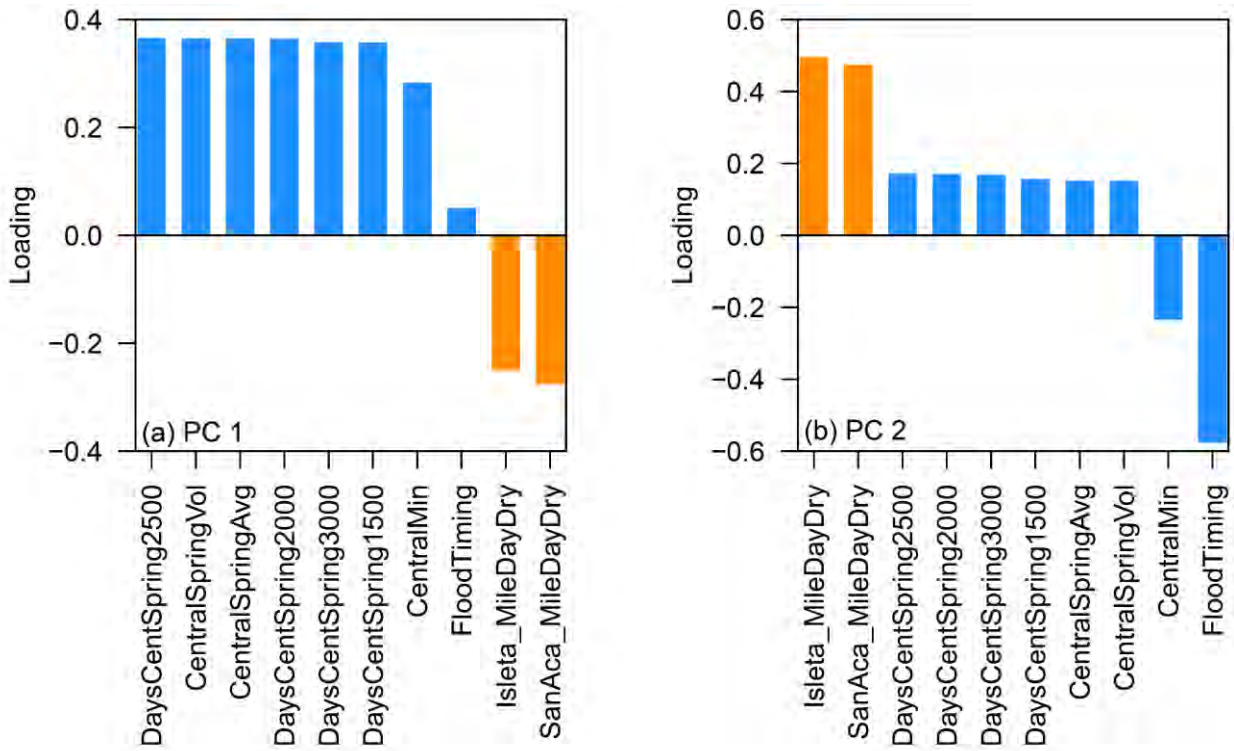
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777 **Figures**



778

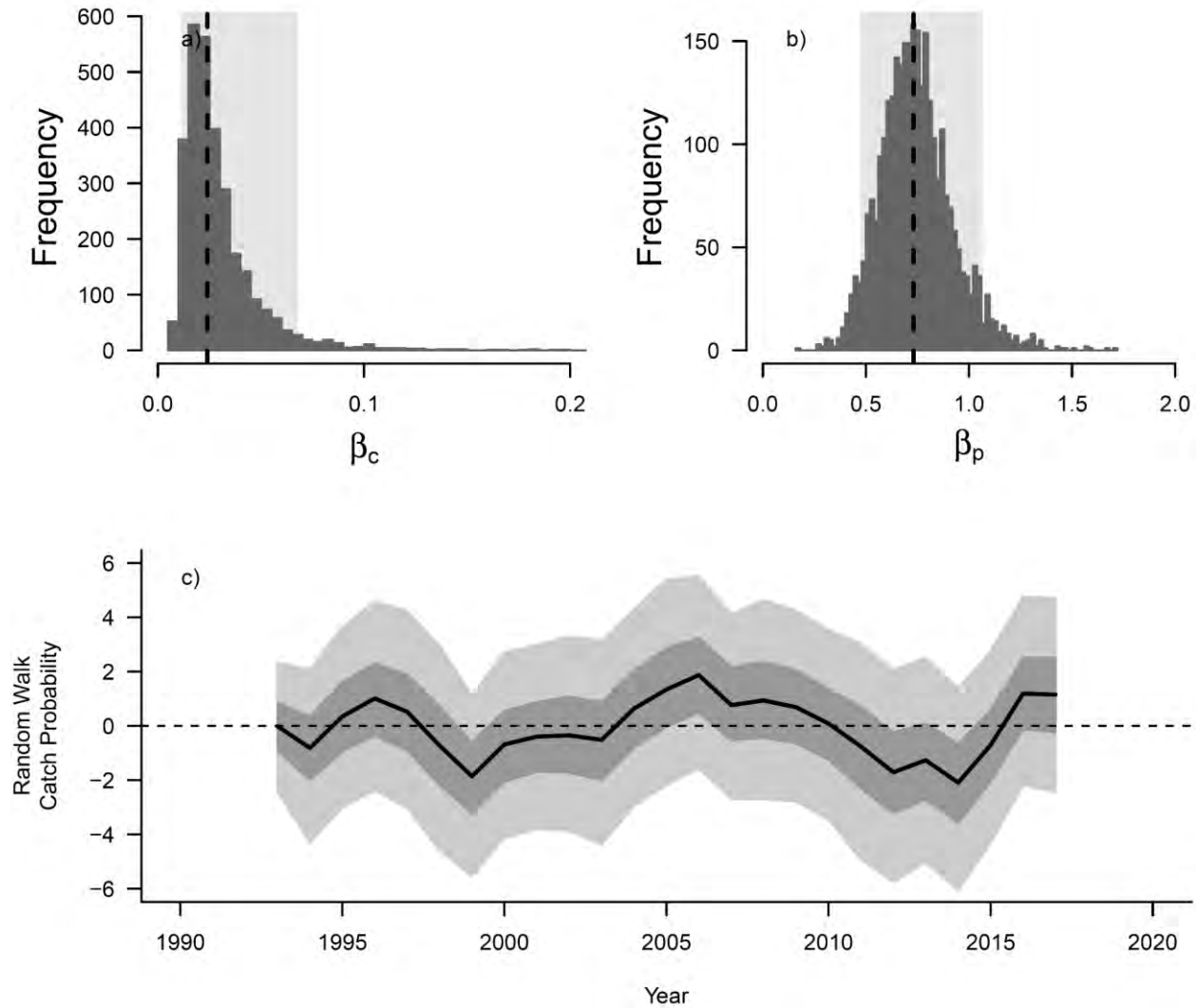
779 **Figure 1.** Biplot of the first and second principal components of the hydrologic predictors used
 780 in the HBO analysis. Arrows indicate the direction and magnitude of the predictor variable
 781 vectors. Blue (orange) arrows represent predictor variables related positively (negatively) to
 782 wetter conditions. The first principal component explains 70% of the observed variation in
 783 hydrologic predictor variables across years.



784

785 **Figure 2.** Principal component loadings for the first two principal components of our PCA. Blue
 786 (orange) bars represent predictor variables related positively (negatively) to wetter conditions.

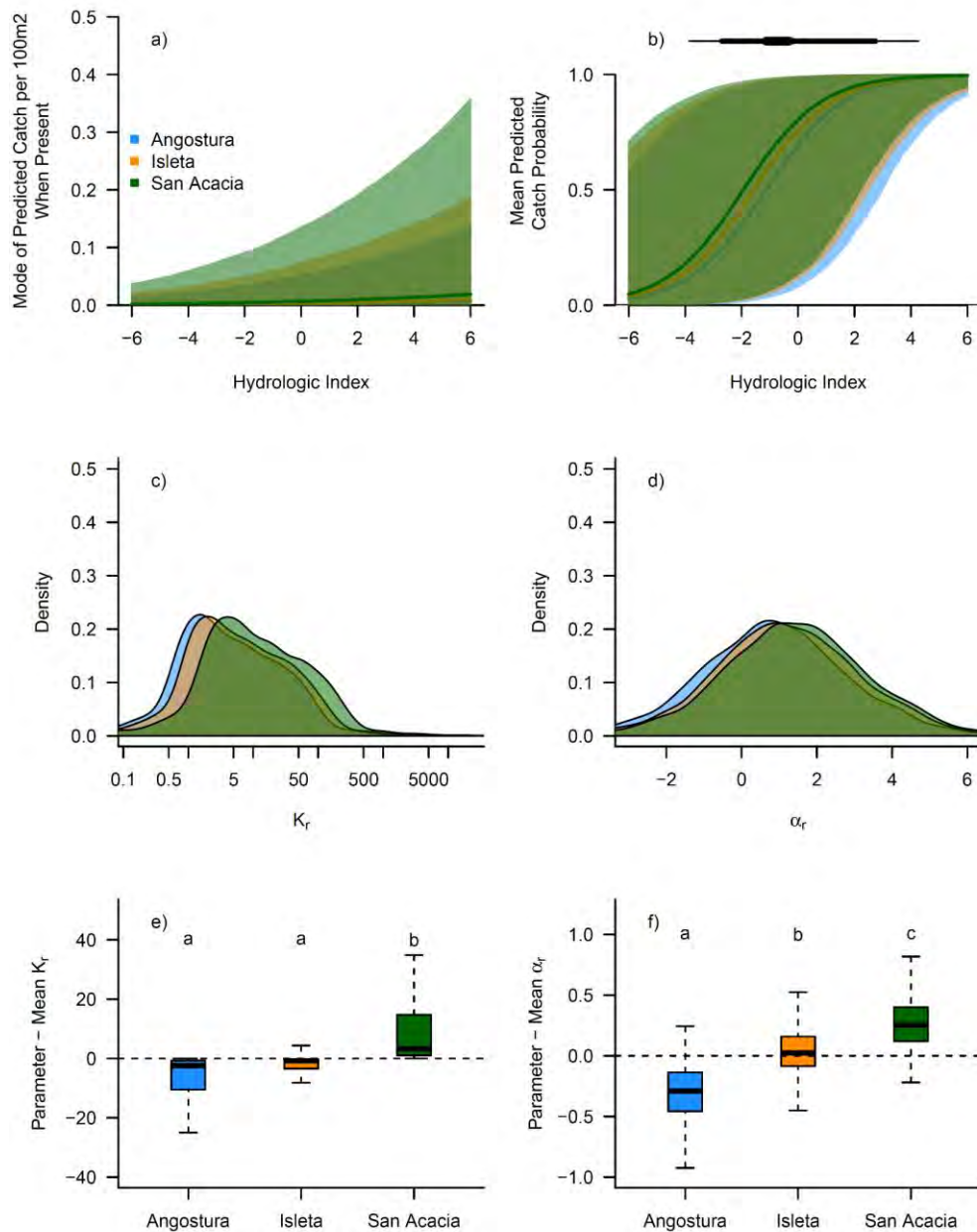
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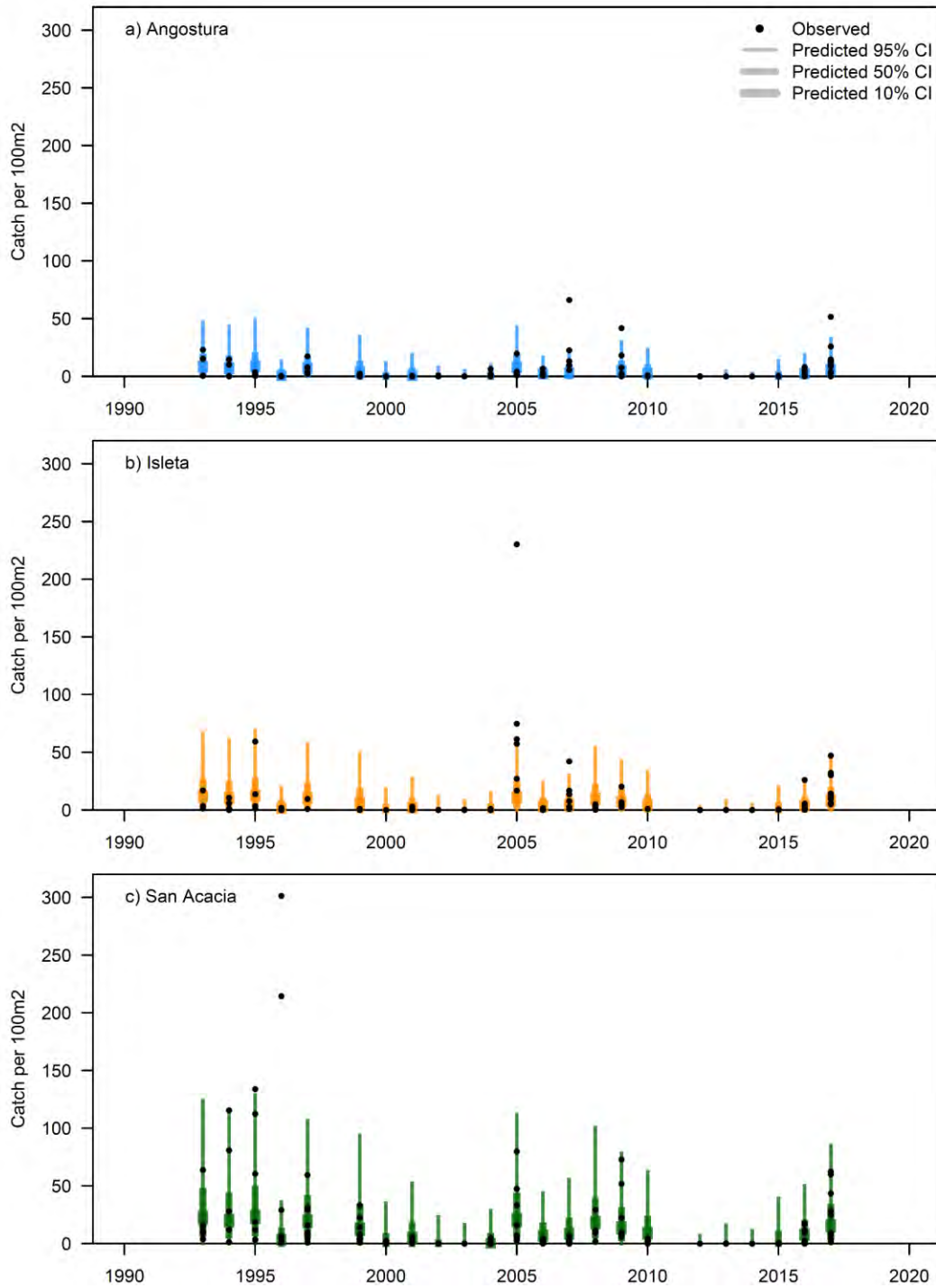
789 **Figure 3.** Posterior distributions of parameter estimates for the effect of hydrologic index on
 790 catch (a) and encounter probability (b), and the random walk latent trend impacting encounter
 791 probabilities (c). The light grey box indicates the 95% credible interval from the MCMC
 792 samples, and the vertical dashed line (a, b) indicates the median parameter estimate for panels
 793 (a,b). The light grey polygon and dark grey polygons indicate the 95% and 50% credible
 794 intervals, respectively, for MCMC samples of annual random walk values, and the solid black
 795 line indicates the median MCMC estimate.

796



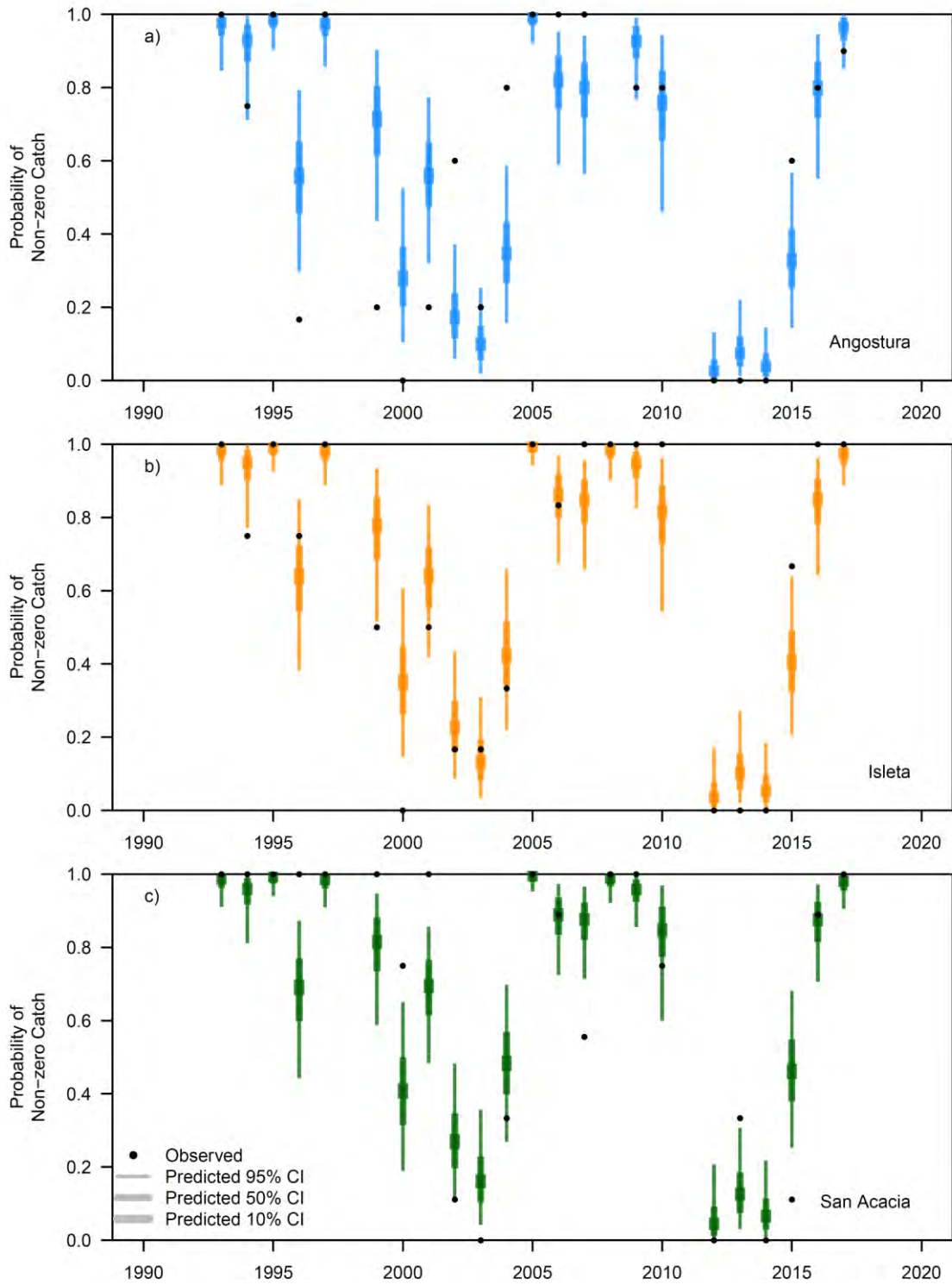
797

798 **Figure 4.** Predicted mode of reach-specific RGSM catch per 100m² when they are present (a)
 799 and reach-specific probability of encountering RGSM (b) across a range of hydrologic index
 800 values (larger values indicate larger and longer duration spring high flows, and less summer
 801 drying), and the posterior distributions of MCMC samples for reach specific carrying capacity
 802 (c) and baseline encounter probability (d) parameters. Posterior distribution of reach specific
 803 differences in K (e) and α (f) from the global mean value. The horizontal boxplot above (a) and
 804 (b) indicates the 95%, 50% and 10% ranges of observed hydrologic indices magnitudes.



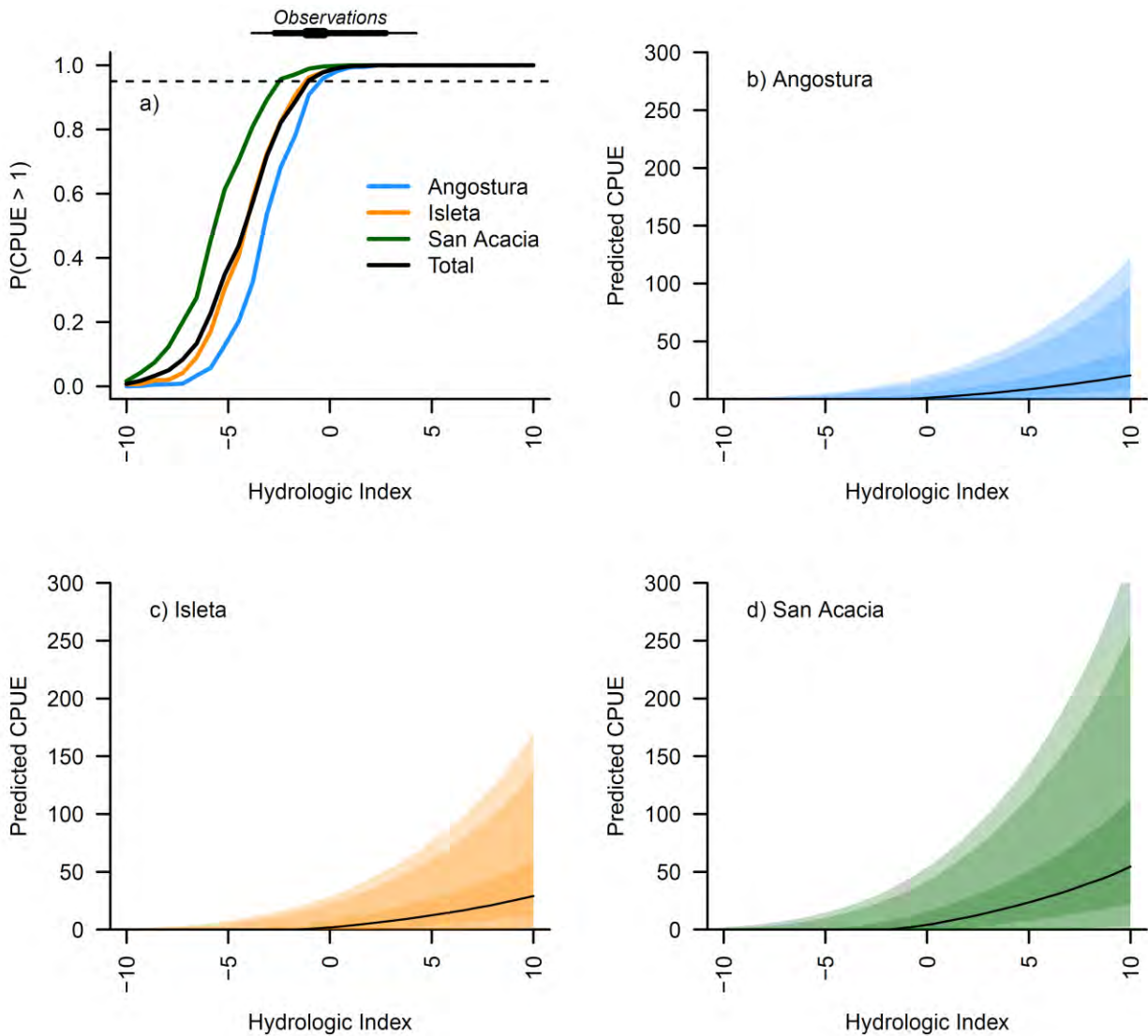
805

806 **Figure 5.** Predicted (boxplots) and observed (points) catch per 100m² for Rio Grande Silvery
 807 Minnow in the Angostura (a), Isleta (b) and San Acacia (c) reaches. The different widths of the
 808 boxplots represent the 95%, 50% and 10% prediction intervals.



809

810 **Figure 6.** Predicted (boxplots) and observed (points) annual encounter probabilities for Rio
 811 Grande Silvery Minnow in the Angostura (a), Isleta (b) and San Acacia (c) reaches. The different
 812 widths of the boxplots represent the 95%, 50% and 10% prediction intervals.

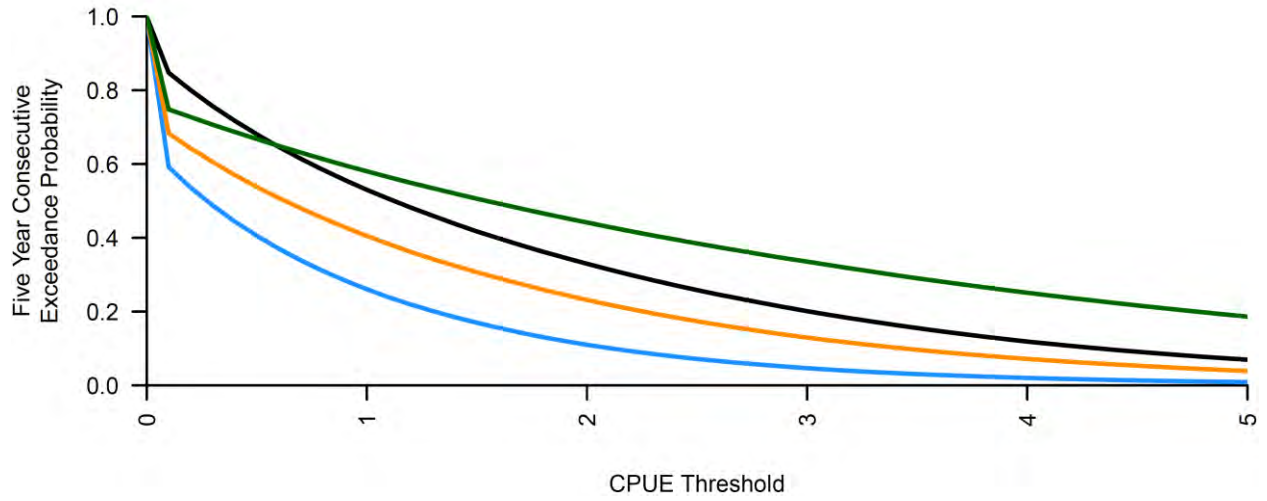


813

814 **Figure 7.** Probabilities of Rio Grande Silvery Minnow catch per 100m² in each reach (colored
 815 lines) and across the whole MRG (black line) being greater than 1.0 across a range of hydrologic
 816 indices (a), and the predicted CPUE of Rio Grande Silvery Minnows at different hydrologic
 817 indices in the Angostura (b), Isleta (c) and San Acacia (d) reaches. The horizontal boxplot above
 818 (a) indicates the 95%, 50% and 10% ranges of observed hydrologic indices and the horizontal
 819 dashed line indicates a 95% threshold. The polygons in (b-d) indicate the 95%, 90% and 50%
 820 simulation intervals and the line indicates the median predicted CPUE.

821

822



823

824 **Figure 8.** Simulated probability of RGSM catch per 100m² exceeding different target thresholds
825 for five consecutive years under the range of hydrologic conditions in the dataset.

1

2 **Hydrologic controls on abundance and distribution of the endangered Rio Grande silvery**
3 **minnow in the Middle Rio Grande**

4

5 **Appendix A: Principal Components Analysis to Generate Integrated Annual Flow Metric**

6

7 Timothy E. Walsworth¹ and Phaedra Budy^{2,1}

8

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12

13 *Principal Components Analysis Background*

14 Exploratory analyses examining the relationship between a response variable and different
15 environmental conditions are often presented with a large number of plausible driving factors to
16 consider. Such high dimension datasets can be difficult to manage and efficiently explore.

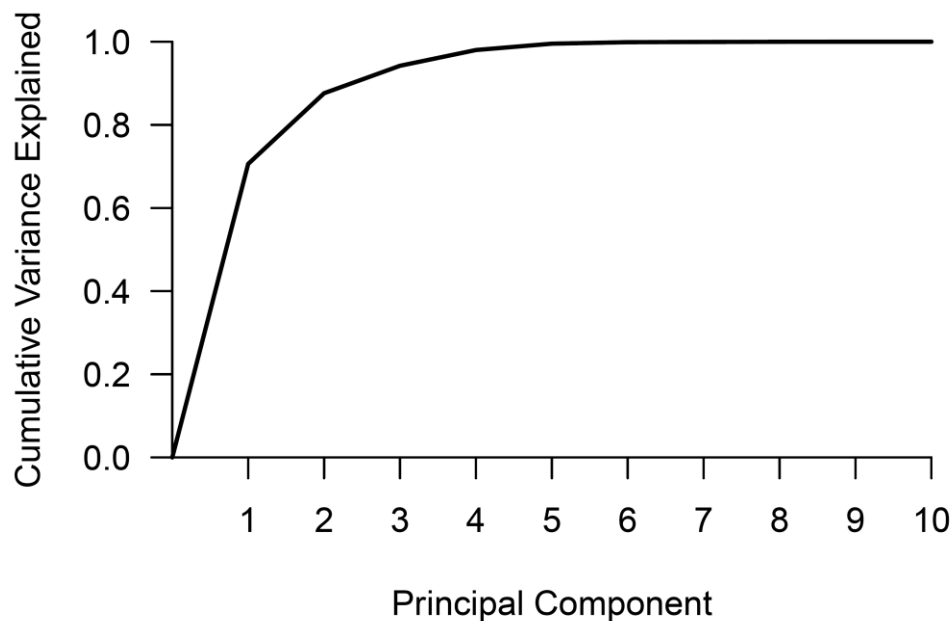
17 Additionally, many of the plausible predictor variables can be correlated, limiting the ability to
18 differentiate between the effects of two potential drivers. Principal components analysis (PCA) is
19 a method for generating orthogonal (perpendicular and uncorrelated) variables from a larger set
20 of predictor variables, and are a valuable tool for reducing dimensionality of data sets (method
21 developed by Pearson 1901; primer for use in ecology presented in Gotelli and Ellison 2004).

22 The first principal component of a dataset is the linear combination of the original predictor
23 variables describing the maximum variance in the data set. The second principal component is
24 the linear combination of the original predictor variables which describes the maximum variance
25 in the dataset after accounting for the first principal component. As the principal components are
26 orthogonal and thus uncorrelated, each component provides distinct information.

27 For our exploration of Rio Grande silvery minnow (RGSM) response to annual hydrologic
28 conditions, we initially considered the large number of hydrologic metrics incorporated in the
29 original HBO. These metrics ranged from spring flow volumes, to days with flow above a
30 various threshold discharges, to summer minimum flow values and the extent of drying. Many of
31 these hydrologic variables are highly correlated with one another, limiting our ability to
32 disentangle the influence of multiple variables. Therefore, we applied a PCA to our predictor
33 variables to produce two new, uncorrelated and integrated metrics of annual hydrologic
34 conditions in the Middle Rio Grande.

35 The first principal component (PC1) explained over 70% of the interannual variance in
36 hydrologic conditions (Fig. S1). Thus, by reducing the dimensionality of our hydrologic dataset
37 to a single variable (PC1), we are still able to retain over 70% of the information contained in the
38 original 15 predictor variables. The second principal component explains an additional 17% of
39 the variance in the data (Fig. S1). Using only these two novel, uncorrelated integrated metrics of
40 annual hydrologic conditions, we are able to retain 87% of the information contained in the
41 original dataset and do not have to address issues of collinearity in our predictor variables,
42 greatly simplifying our ultimate RGSM distribution and catch modeling efforts.

43



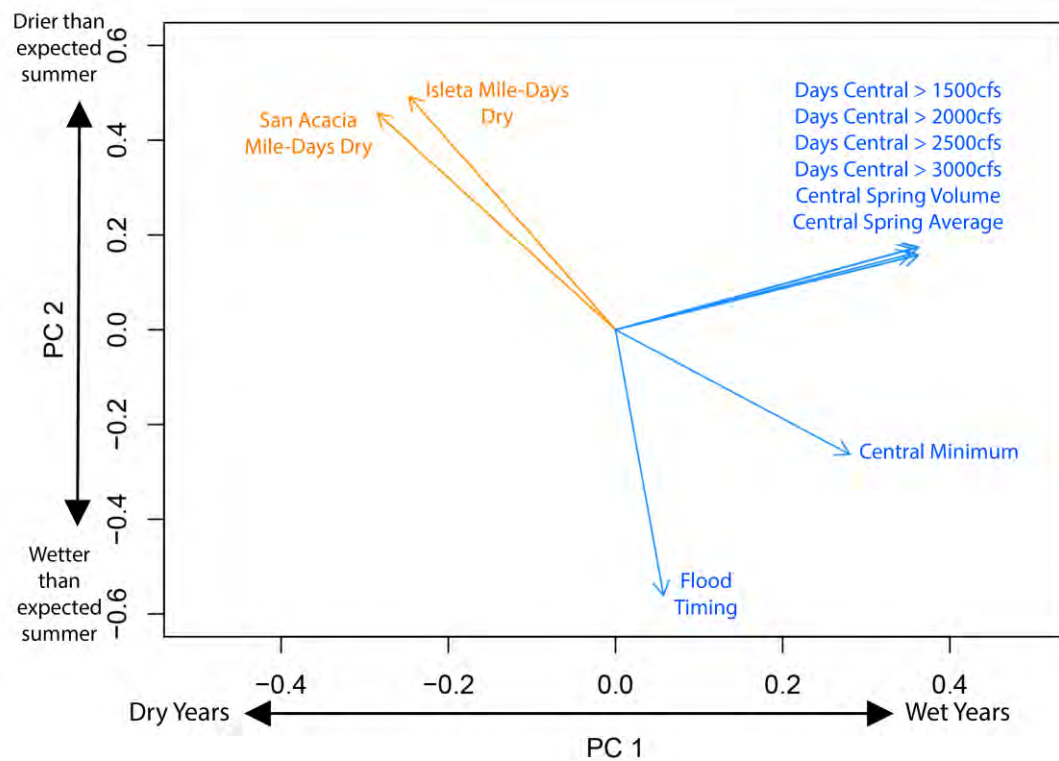
44

45 **Supplemental Figure S1.** Cumulative variance in interannual hydrologic conditions explained by principal
46 components. The first principal component explains approximately 70% and the second principal component
47 explains an additional 17% of the variance in the hydrologic data.

48

49 The first principal component generally separates wet years (those with larger spring flows and
 50 less summer drying; positive values on PC1) from dry years (with smaller spring flows and more
 51 summer drying; negative values on PC1; Figs. S2, S3a), and is referred to as the annual
 52 hydrologic index in the main document. The second principal component separates out those
 53 years with more summer drying than would be expected given large spring flows coupled with
 54 early spring peak flow timing (positive values on PC2) from those with less drying than would
 55 be expected given smaller spring flows coupled with late spring peak flows (negative values on
 56 PC2; Figs. S2, S3b), and is referred to as the flow timing index in the main document.

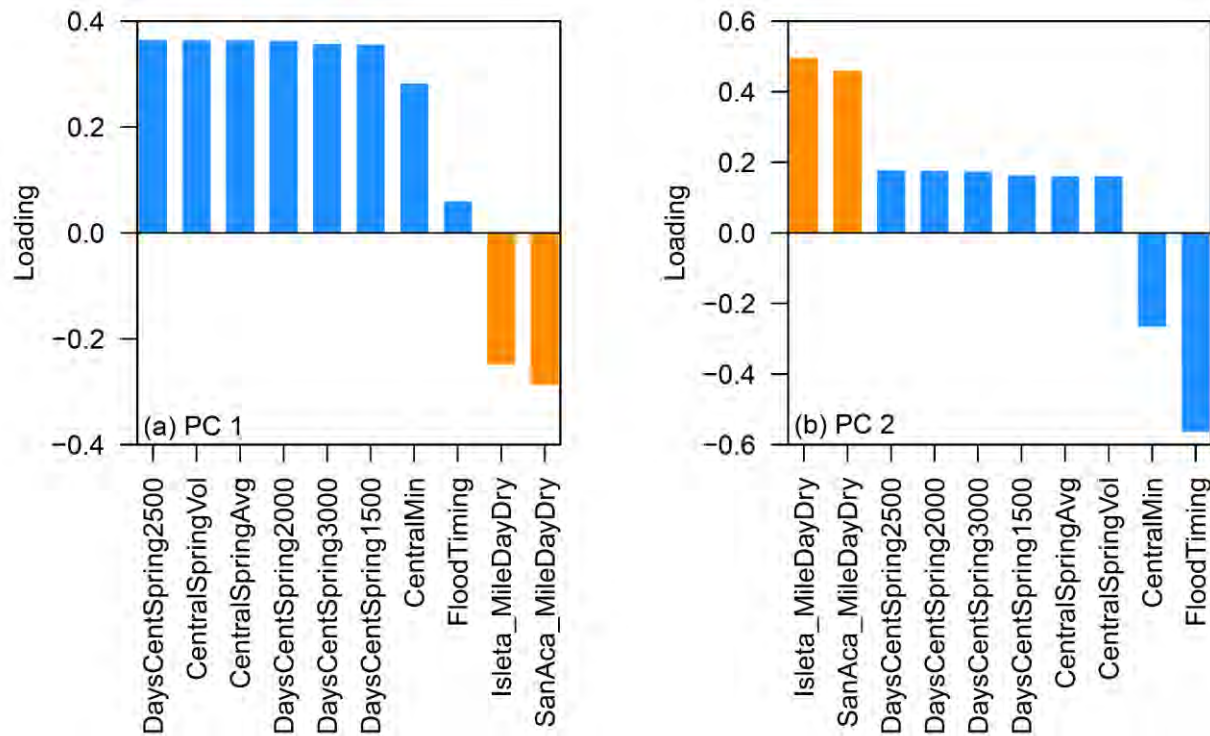
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58

59 **Supplemental Figure S2.** Biplot of the first two principal components for the Middle Rio Grande annual hydrologic
 60 metrics. The first principal component generally separates wet years (those with large spring flows and less
 61 summer drying; positive values) from dry years (with small spring flows and more summer drying; negative values).
 62 The second principal component separates out those years with more summer drying than would be expected
 63 given large spring flows coupled with early spring peak flow timing (positive values) from those with less drying

64 than would be expected given smaller spring flows coupled with late spring peak flows. Blue (orange) arrows
 65 indicate loadings for those predictor variables which respond positively (negatively) to wetter conditions.



66

67 **Supplemental Figure S3.** Predictor variable loadings for principal component 1 (a) and principal component 2 (b).
 68 Loadings represent the multipliers used in the linear combination of predictor variables (centered and scaled) used
 69 to calculate annual principal component scores. Blue (orange) bars indicate loadings for those predictor variables
 70 which respond positively (negatively) to wetter conditions.

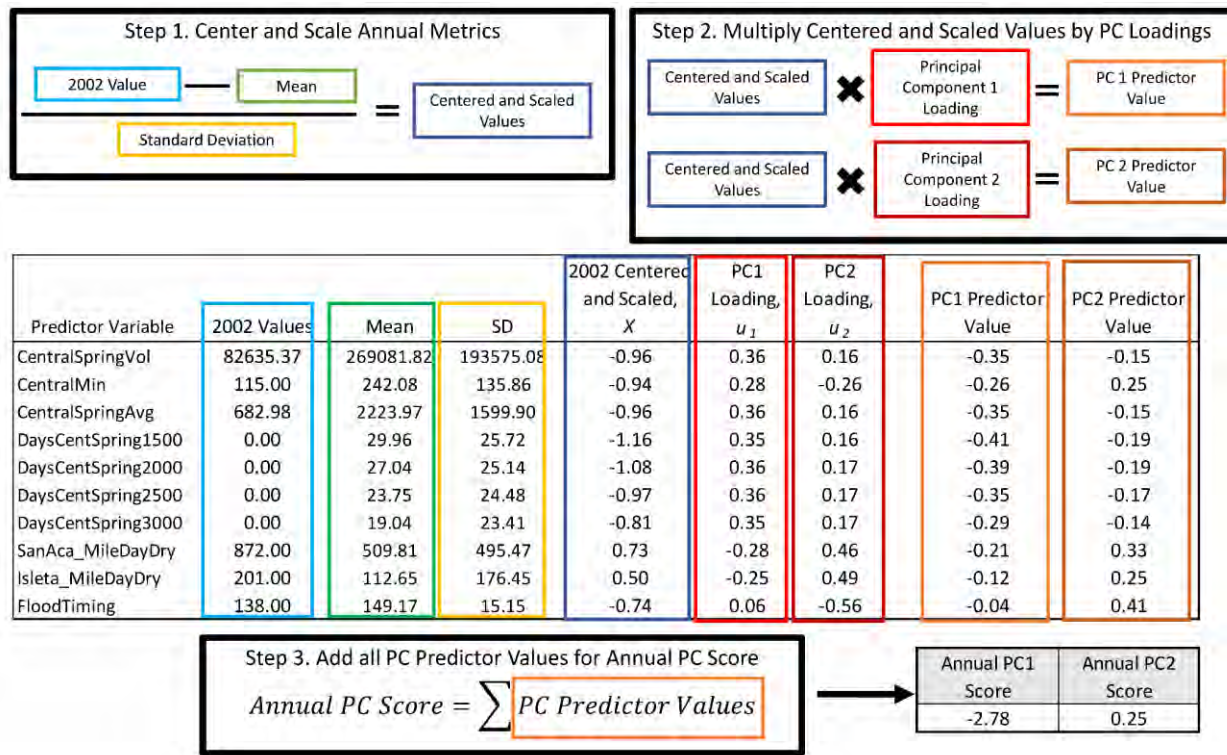
71

72 *Conducting a Principal Components Analysis*

73 A principal components analysis requires predictor variables to be centered (i.e., subtract the
 74 mean from all values such that the mean of the centered data equals zero) and scaled (i.e., divide
 75 the centered data by the standard deviation of the data). Each predictor variable is centered and
 76 scaled individually. The matrix of centered and scaled predictor variables is then passed to a
 77 PCA function in a statistical computing environment (we used the function “prcomp” within the
 78 R Statistical Computing Environment; R Core Team 2018). The PCA function will calculate the

79 principal component loadings (i.e., the linear contributions of each predictor variable to each
 80 principal component, representing eigenvectors which diagonalize the covariance matrix of
 81 centered and scaled predictor variables), the variance explained by each principal component,
 82 and the “scores” for each principal component for each year of data. Below, we provide an
 83 example of how these scores are calculated from the input data and the principal component
 84 loadings (Fig. S4).

85



86

87 **Supplemental Figure S4.** Conceptual description of how annual principal component scores are calculated. Metrics
 88 are color coded between the table and the step-by-step boxes.

89

90 Annual principal component scores are calculated by adding the linear combination of principal
 91 component loadings multiplied by the centered and scaled annual hydrologic conditions:

$$PC_{zy} = u_{z,1}X_{1,y} + u_{z,2}X_{2,y} + \dots u_{z,n}X_{n,y} \quad (S.1)$$

92 where $X_1, X_2, \dots X_n$ are the annual hydrologic metrics and $u_{z,n}$ are the principal component
 93 loadings for the z^{th} principal component and n^{th} predictor variable. In the case of our Middle Rio
 94 Grande hydrology PCA, the equation for principal component 1 is:

95

$$\begin{aligned} PC_{1,y} = & 0.36 \times CentralSpringVol_y + 0.28 \times CentralMin_y \\ & + 0.36 \times CentralSpringAvg_y + 0.35 \times DaysCentSpring1500_y \\ & + 0.36 \times DaysCentSpring2000_y + 0.36 \times DaysCentSpring2500_y \\ & + 0.35 \times DaysCentSpring3000_y - 0.28 \times SanAcaMileDayDry_y \\ & - 0.25 \times IsletaMileDayDry_y + 0.06 \times FloodTiming_y \end{aligned} \quad (S.2)$$

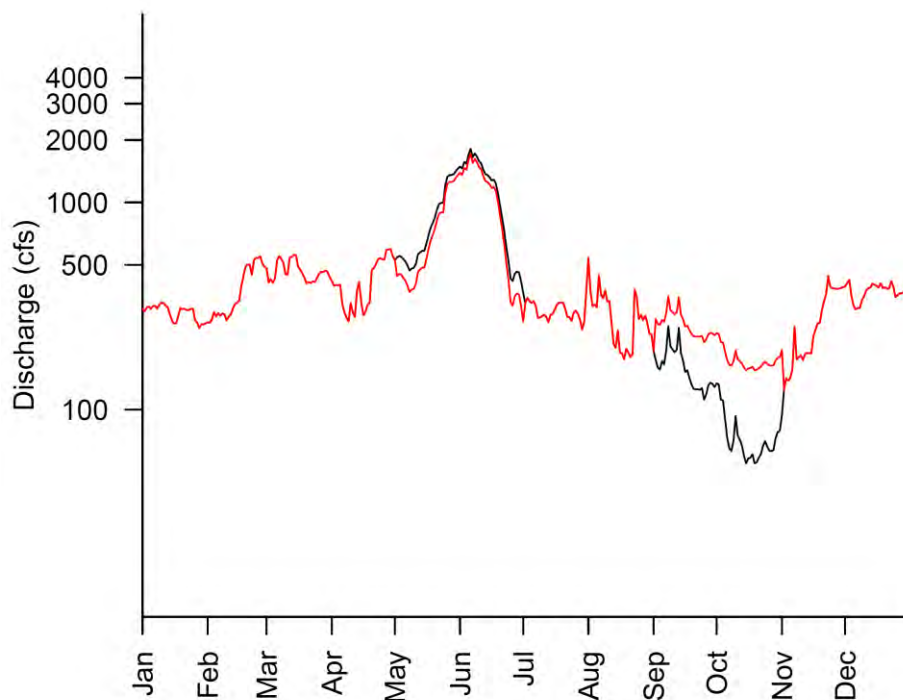
96 Supplemental Figure S1 provides a graphical walkthrough of the calculation of the annual PC1
 97 and PC2 scores for the year 2002.

98

99 *Simulating with Principal Component Metrics*

100 In addition to generating scores for years which hydrologic observations are available, equation
 101 [S.1] can be used to generate principal components scores for hypothetical hydrologic
 102 conditions. With the exception of the mile-days dry in San Acacia and Isleta, all of the other
 103 predictor variables can be calculated off of a hydrograph, and the remaining drying metrics can
 104 be sampled with uncertainty given the other hydrologic conditions. Therefore, if a management
 105 agency generates a forecast hydrograph, with mean daily flows throughout the year, calculating
 106 PC1 and PC2 scores for the forecast year involves only calculating or estimating the predictor

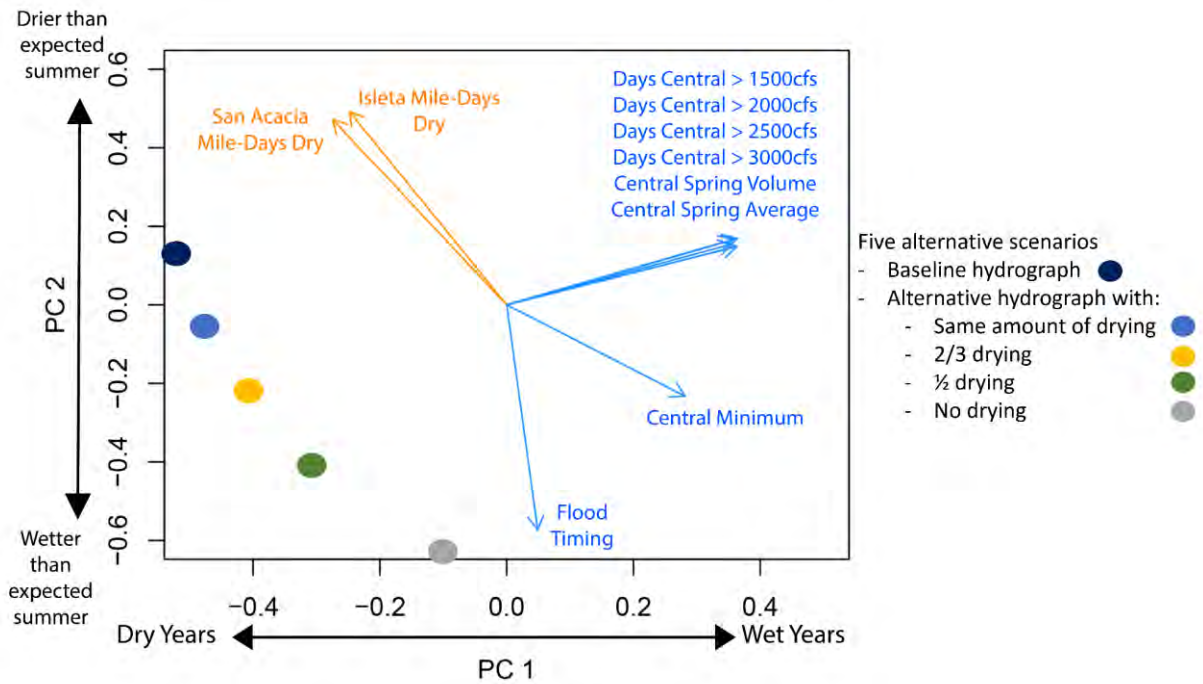
107 variables from that forecast hydrograph and using equation [S.1] to calculate an annual principal
108 components score. This could similarly be done with a simulated hydrograph including
109 alternative water management scenarios (e.g., storing water during the spring high flow period
110 and releasing the stored water during the summer low flow period; Fig. S5). The resultant
111 principal components scores (Fig. S6) can be used to predict RGSM distribution and density
112 (Fig. S7), as well as the probability of achieving conservation targets (Fig. S8), with the model
113 presented in this report. Ultimately, examining the predicted response of RGSM populations to
114 alternative flow and management scenarios across multiple models can provide managers and
115 stakeholders with a quantitative view of the trade-offs among the different options available to
116 managing flows for RGSM in the MRG.



117

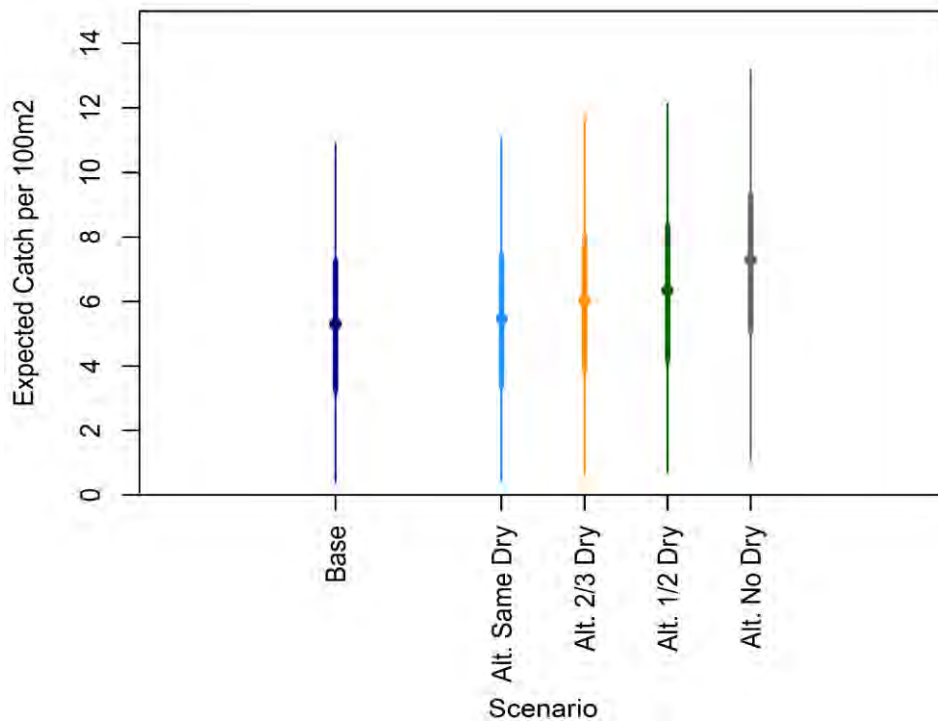
118 **Figure S5.** Examples of alternative water management strategies within a given water year. Here, the black line
119 represents the “no action” hydrograph, while the redline represents the hydrograph when ~200 acre feet per day are
120 stored during May and June, and subsequently released during September and October. Principal components scores
121 can be calculated from these hydrographs to compare expected RGSM performance under the two strategies. Note
122 the log-scaled y-axis.

123



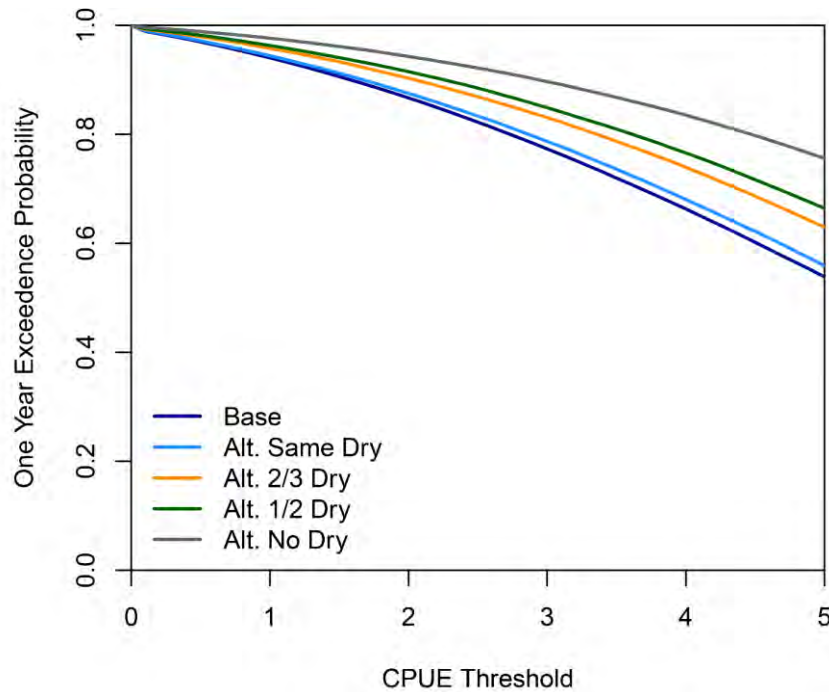
124

125 **Figure S6.** Example changes in PCA scores with alternative management strategies. Note that alternative scenario
 126 PCA scores are presented relative to each other and scaled to PCA loadings. Actual PCA scores have greater
 127 magnitude and have been compressed on x-axis to demonstrate relative changes (e.g., baseline PC1 = -1.18).



128

129 **Figure S7.** Expected catch of Rio Grande silvery minnow per 100m² sampled under alternative flow management
 130 scenarios from Fig. S5. Thin and thick lines represent the 95% and 50% prediction intervals, respectively. Points
 131 indicate the median predicted values.



132
 133 **Figure S8.** Simulated probabilities of meeting different target CPUE thresholds for Rio Grande silvery minnow in
 134 the Middle Rio Grande under alternative flow management strategies. Exceedance probabilities are reported for
 135 the full Middle Rio Grande only here, not for individual reaches.

136

137

138 Appendix References

139 Gotelli, N.J. and Ellison, A.M., 2004. *Primer of ecological statistics*. Sinauer Associates

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141 Pearson, K., 1901. Principal components analysis. *The London, Edinburgh, and Dublin*

142 *Philosophical Magazine and Journal of Science*, 6(2), p.559.

143 R Core Team (2018). R: A language and environment for statistical computing. R Foundation for

144 Statistical Computing, Vienna, Austria. URL <https://www.R-project.org/>.

145

1

2 **Hydrologic controls on abundance and distribution of the endangered Rio Grande silvery**
3 **minnow in the Middle Rio Grande**

4

5 **Appendix B: Alternative models of the effect of annual hydrologic conditions on RGSM**
6 **distribution and density**

7

8 Timothy E. Walsworth¹ and Phaedra Budy^{2,1}

9

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11 ² U.S. Geological Survey Utah Cooperative Fish and Wildlife Research Unit, Utah State University,
12 Logan, UT 84322

13

14 *Alternative Model Structures*

15 In addition to the base model presented in the main document, we examined three
16 alternative model structures. The alternative model structures include (1) the base model without
17 the hurdle component, (2) the base model without the latent trend, and (3) the base model
18 estimating CPUE of age-0 RGSM only with an additional effect of the previous year's RGSM
19 CPUE on the probability of presence at a sampling site. Additionally, we examined a model with
20 the same underlying structure as the base model, but with both the hydrologic and flow timing
21 indices (i.e., principal components 1 and 2; *Appendix A*). In this appendix, we present the details
22 of each alternative model structure, their fits to the observed data, as well as the results of
23 simulation experiments (described in the methods of the main document) predicting RGSM
24 response to different hydrologic conditions. Despite the different model structures, each model
25 predicted generally similar RGSM responses to changing hydrologic conditions. As such,
26 incorporating all of these models as alternative “states of nature” in a decision support
27 framework would likely provide very similar strategy recommendations, though some subtle and
28 important differences may arise, warranting the inclusion of multiple models.

29

30 *Catch-only Model (No Hurdle Component)*

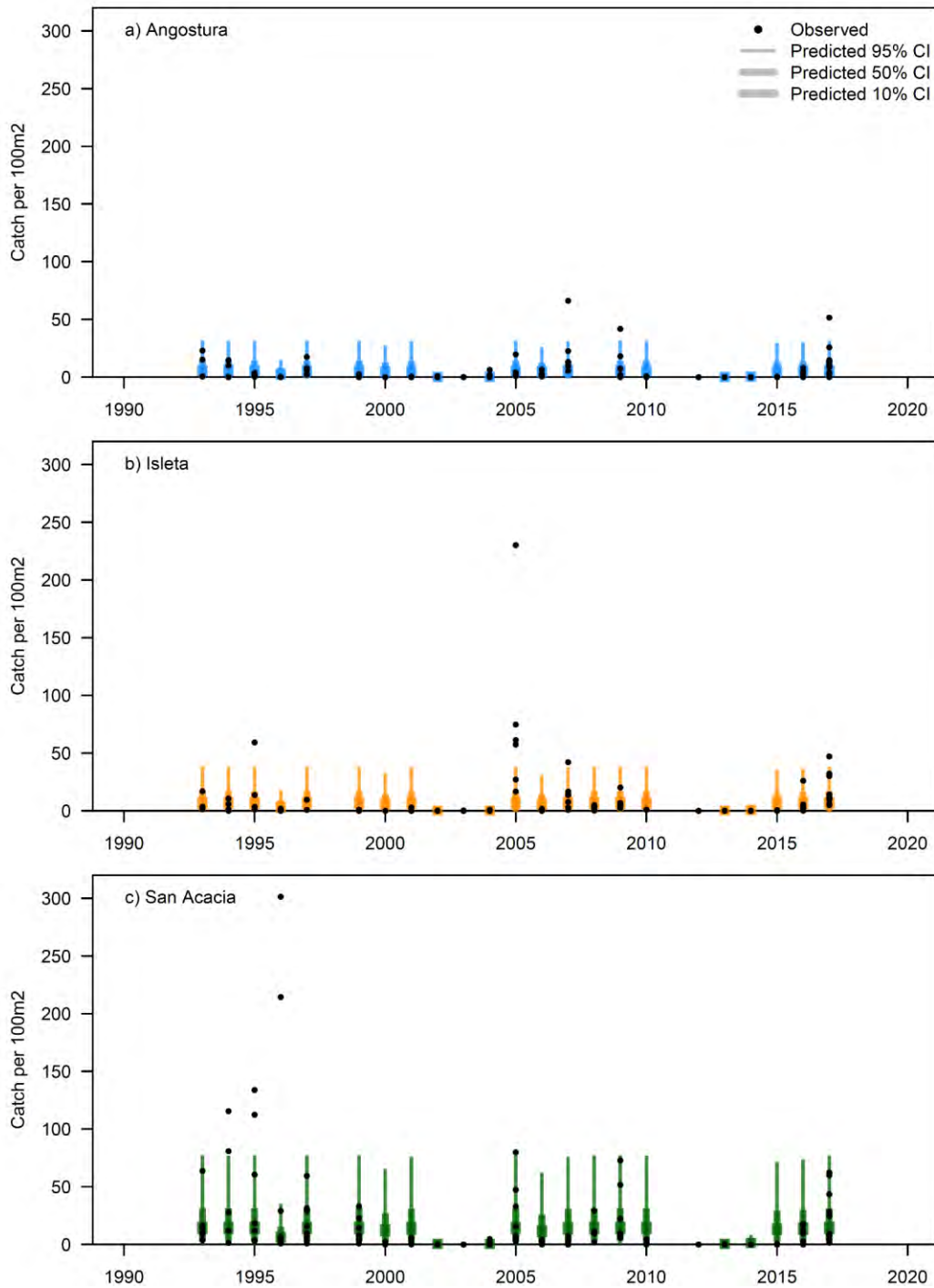
31 The alternative model structure without the hurdle component alters the base model by
32 excluding equations [1a] and [1b], only using the Gompertz function [2a] and gamma likelihood
33 [2b] and [2c]. However, as the gamma distribution is not defined at 0, a small constant value
34 (0.01) was added to all site-specific CPUE observations.

35 The catch-only model also fit the observed data fairly well, though more of the observed
36 CPUE values fell outside of the 95% credible intervals (3.9%; Fig. S2.1) than did for the base
37 model. The model predicts less variation in predicted CPUE among years with relatively high
38 densities than the base model as well.

39 Parameter estimates for the catch-only model were more certain than for the base model
40 (Fig. S2.2). As with the base model, expected CPUE increased in years with greater hydrologic
41 indices (i.e., wetter years; Fig. S2.2ab). Unlike in the base model, estimated “carrying capacity”
42 for each reach was well constrained and predicted to occur at relatively low hydrologic indices
43 (Fig. S2.2b). Similar to the base model, San Acacia was predicted to have the highest “carrying
44 capacity”, with Isleta expected to have a greater “carrying capacity” than Angostura (Fig.
45 S2.2cd).

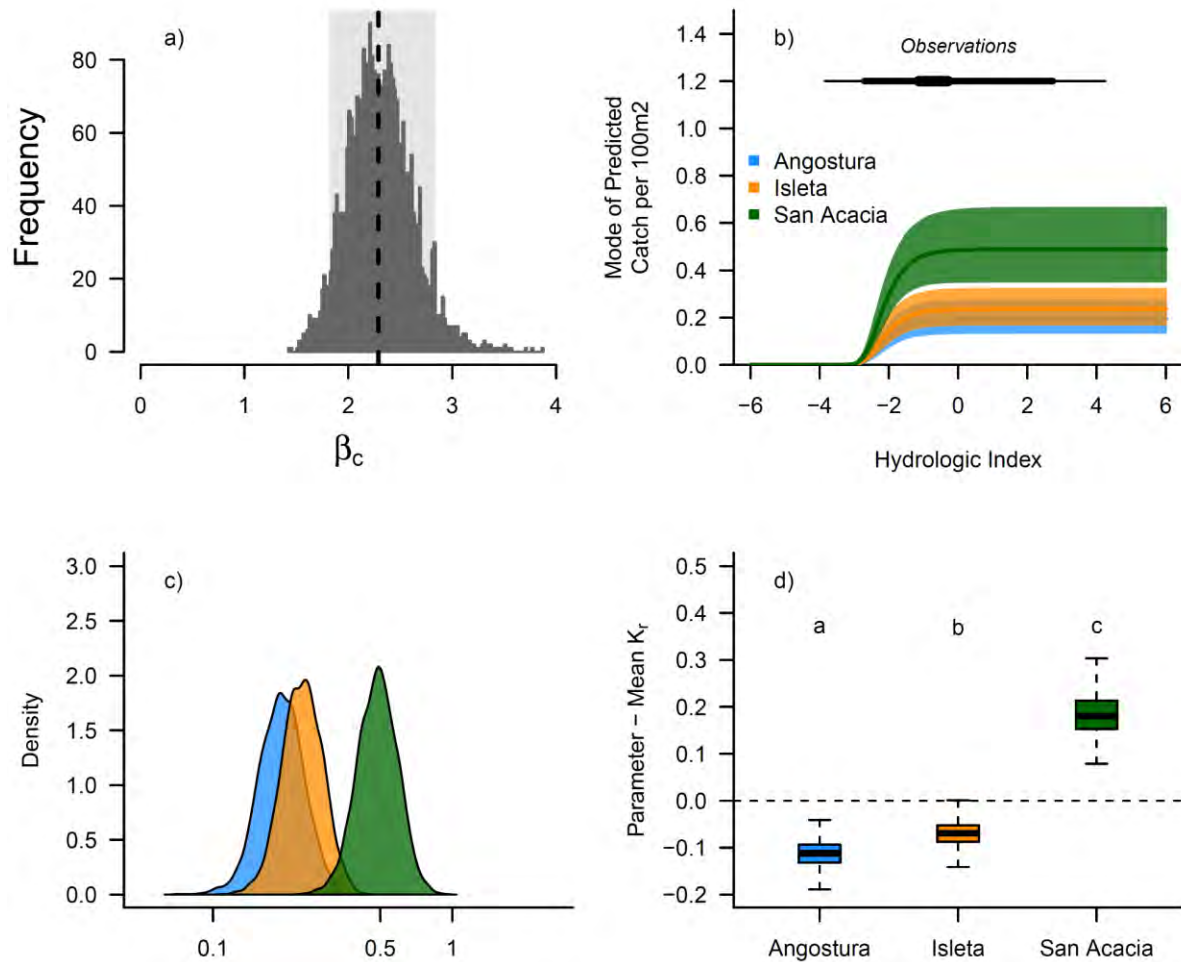
46 Simulation experiments predict all three reaches, as well as the MRG average, CPUE
47 would meet recovery targets under below average hydrologic conditions from the period of
48 record (Fig. S2.3a). This results from each reach being predicted to achieve its maximum
49 expected catch under relatively modest flow conditions, with no benefit to increasing flows
50 further (Fig. S2.3bcd). The range of predicted site-specific densities for each reach is much
51 narrower than those predicted for the base model. Estimated probabilities of achieving lower
52 CPUE targets for five consecutive years are lower and more similar among reaches than for the
53 base model, but are greater than the base model for higher CPUE targets (Fig. S2.3e).

54



55

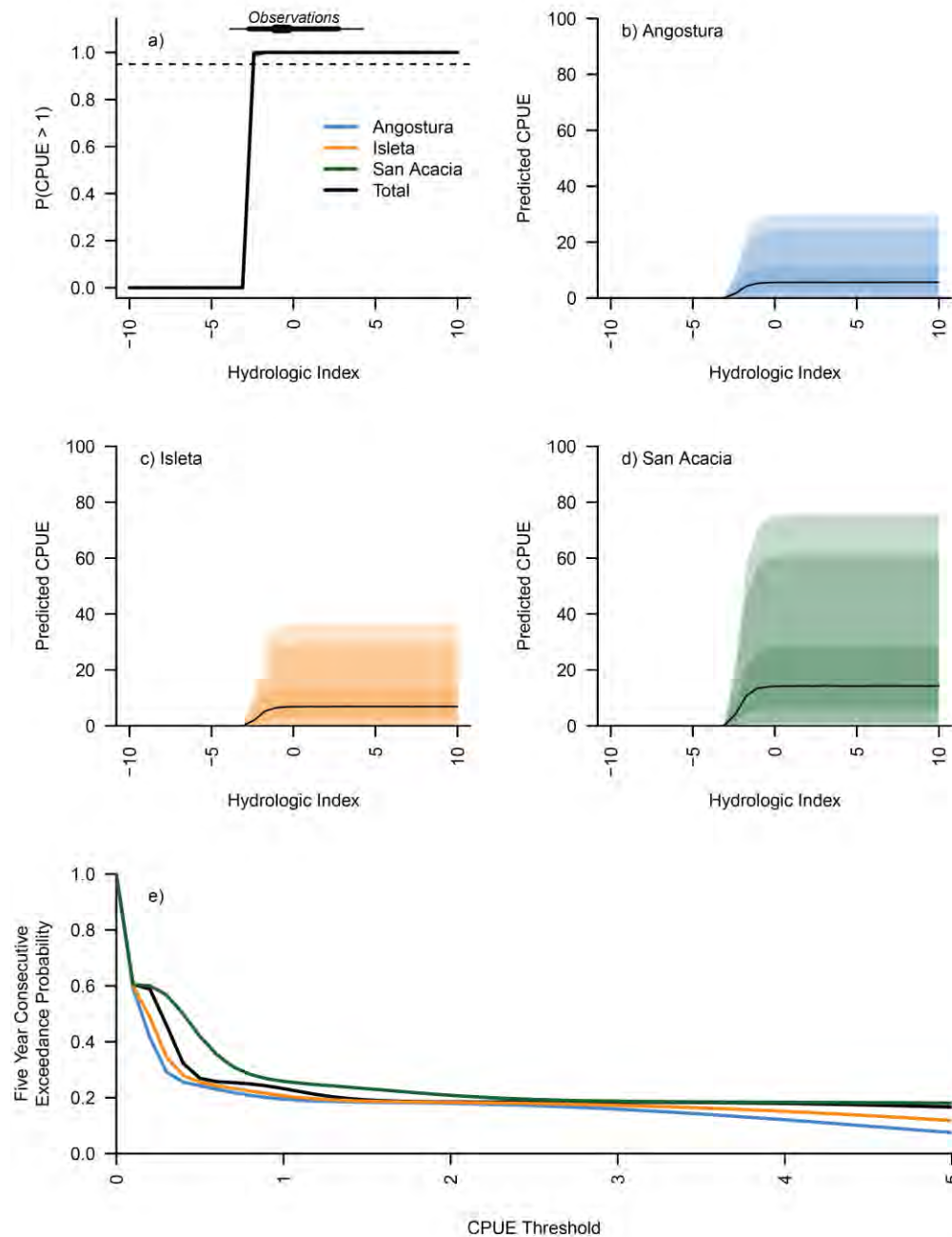
56 **Figure S2.1.** Predicted (boxplots) and observed (points) catch per 100m² for Rio Grande silvery minnow in the
 57 Angostura (a), Isleta (b) and San Acacia (c) reaches for the no hurdle component model. The different widths of the
 58 boxplots represent the 95%, 50% and 10% prediction intervals.



59

60 **Figure S2.2.** Posterior distribution of the effect of annual hydrologic index on expected catch (a), the predicted
 61 mode of reach-specific RGSM catch per 100m² when they are present (b) across a range of annual hydrologic
 62 indices (larger values indicate higher spring flows and less summer drying), the posterior distributions of MCMC
 63 samples for reach specific carrying capacity (c), and the posterior sample differences between reach specific
 64 carrying capacity estimates and the global mean value (d) for the no hurdle component model. The horizontal
 65 boxplot above (b) indicates the 95%, 50% and 10% ranges of observed hydrologic indices. Letters above boxes in
 66 (d) indicate significant differences

67



68

69 **Figure S2.3.** Probabilities of Rio Grande silvery minnow catch per 100m² in each reach (colored lines) and across
 70 the whole MRG (black line) being greater than 1 across a range of annual hydrologic indices levels (a), and the
 71 predicted CPUE of Rio Grande silvery minnows at different hydrologic indices in the Angostura (b), Isleta (c) and
 72 San Acacia (d) reaches, and simulated probability of RGSM catch per 100m² exceeding different target thresholds
 73 for five consecutive years under the range of hydrologic conditions in the dataset for the no hurdle component
 74 model. The horizontal boxplot above (a) indicates the 95%, 50% and 10% ranges of observed hydrologic indices and
 75 the horizontal dashed line indicates a 95% threshold. The polygons in (b-d) indicate the 95%, 90% and 50%
 76 simulation intervals and the line indicates the median predicted CPUE. Vertical dashed lines indicate different
 77 management targets.

78

79 *No Latent Trend Model*

80 The alternative model structure without latent trend alters the base model by modifying
81 equation [1a] to be:

$$\text{logit}(p_{ry}) = \alpha_r + \boldsymbol{\beta}_p \boldsymbol{\phi}_{ry} \quad (1a)$$

$$\alpha_r \sim N(\mu_\alpha, \sigma_\alpha^2)$$

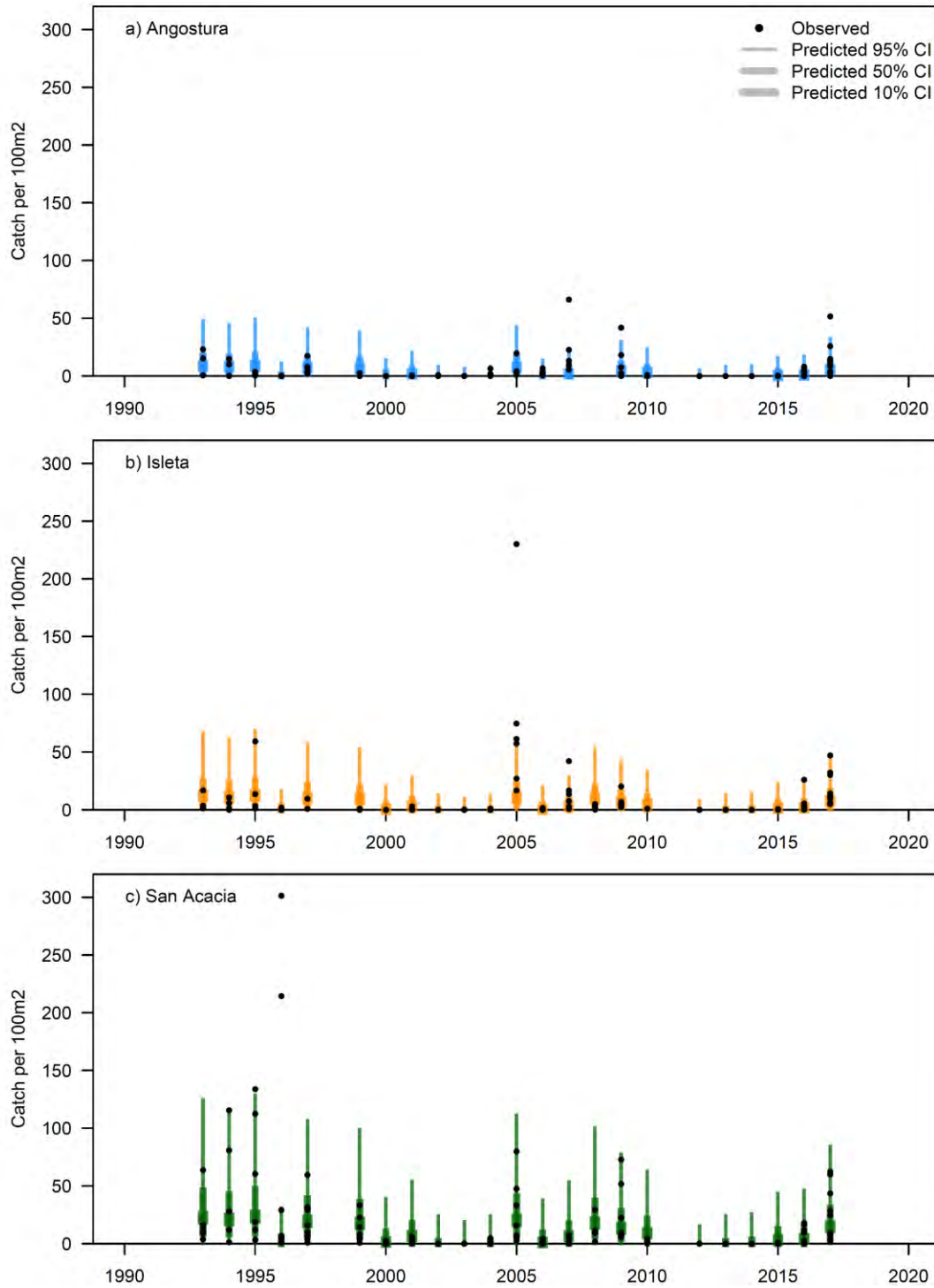
82 all other model components are the same as in the base model.

83 The no latent trend model also fit the observed data well, capturing the same number of
84 observed CPUE values within the 95% credible intervals (97.6%; Fig. S2.4) as the base model.
85 The predicted distributions for annual site-specific CPUE are very similar to those from the base
86 model. The no latent trend model predicted annual probabilities of presence with narrower
87 credible intervals than did the base model, and the 95% credible intervals were less likely to
88 include the observed values (Fig. S2.5).

89 Parameter estimates for the no latent trend model were similar for the catch component,
90 but more certain for the hurdle component than for the base model (Fig. S2.6abcd). As with the
91 base model, expected CPUE and expected probability of presence both increased in years with
92 greater hydrologic indices (i.e., wetter years; Fig. S2.6ab). Estimated “carrying capacity” for
93 each reach had similar levels of uncertainty to the base model (Fig. S2.6c). Estimates of the
94 baseline probability of presence (in logit space) were more constrained in the no latent trend
95 model than in the base model (Fig. S2.6d). Similar to the base model, San Acacia was predicted
96 to have the highest “carrying capacity”, with Isleta expected to have a similar value as Angostura
97 (Fig. S2.6e). Additionally, San Acacia was estimated to have the greatest baseline probability of
98 presence, while Isleta had greater probability of presence than Angostura (Fig. S2.6f)

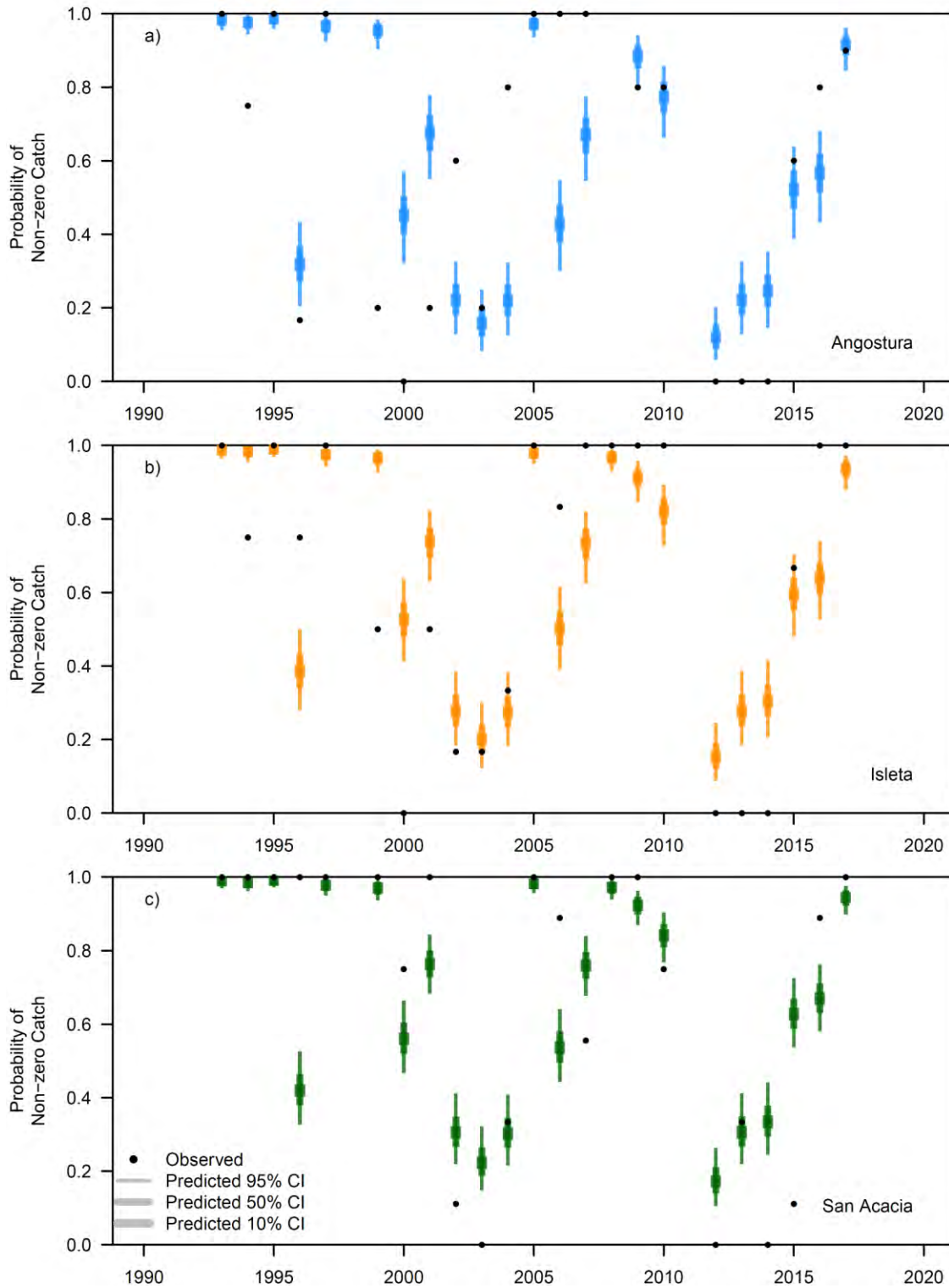
99 Simulation experiments predict both San Acacia and Isleta, as well as the MRG average,
100 CPUE would meet recovery targets under slightly below median hydrologic conditions from the
101 period of record (Fig. S2.7a). Angostura is predicted to achieve the recovery threshold with 95%
102 confidence only under slightly above median hydrologic conditions. The distribution of predicted
103 CPUE values for each reach increases across the range of hydrologic conditions examined (Fig.
104 S2.7bcd). The range of predicted site-specific densities for each reach is very similar to those
105 predicted for the base model. Estimated probabilities of achieving CPUE targets for five
106 consecutive years is also very similar to the base model (Fig. S2.7e).

107



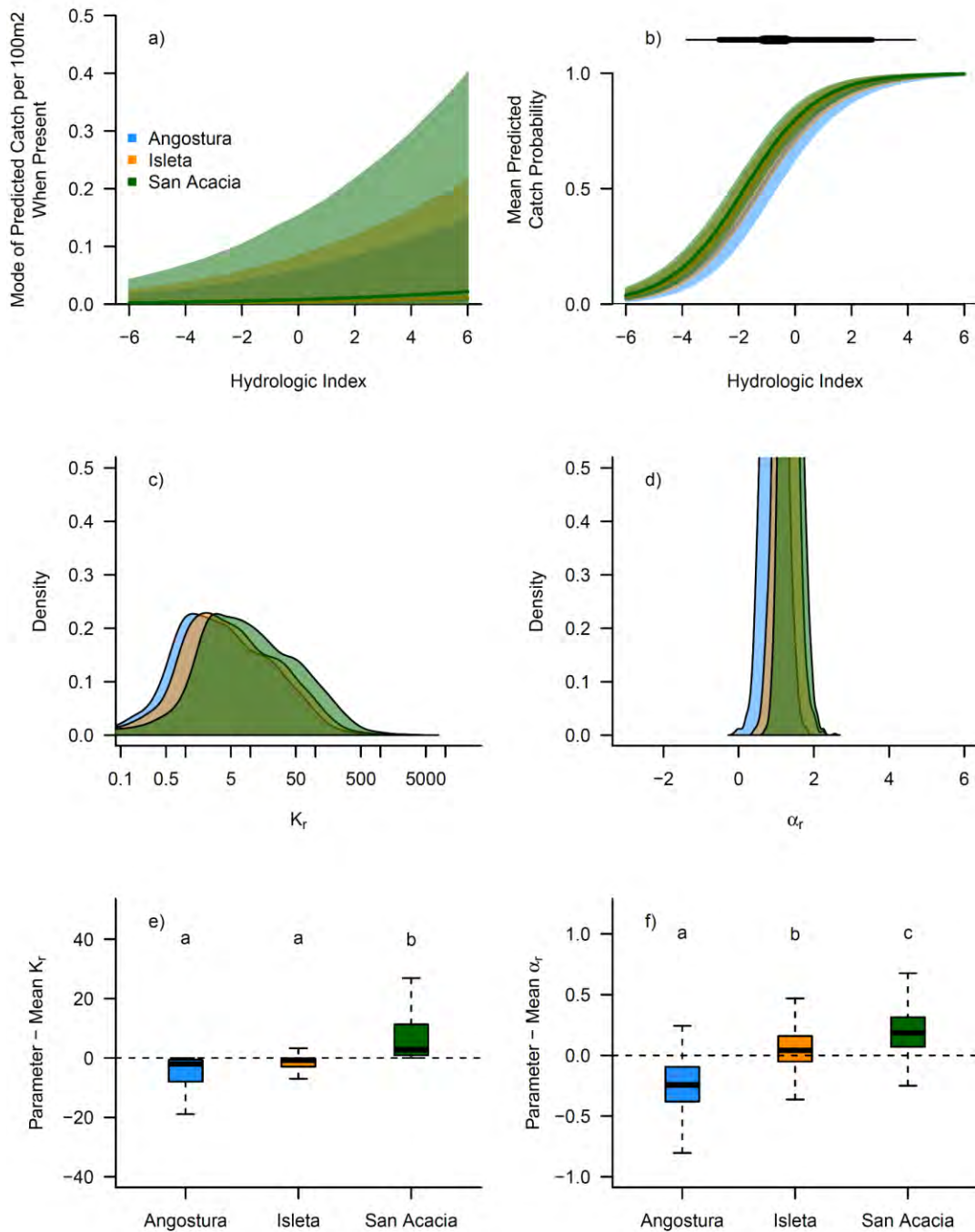
108

109 **Figure S2.4.** Predicted (boxplots) and observed (points) catch per 100m² for Rio Grande silvery minnow in the
 110 Angostura (a), Isleta (b) and San Acacia (c) reaches for the no latent trend model. The different widths of the
 111 boxplots represent the 95%, 50% and 10% prediction intervals.



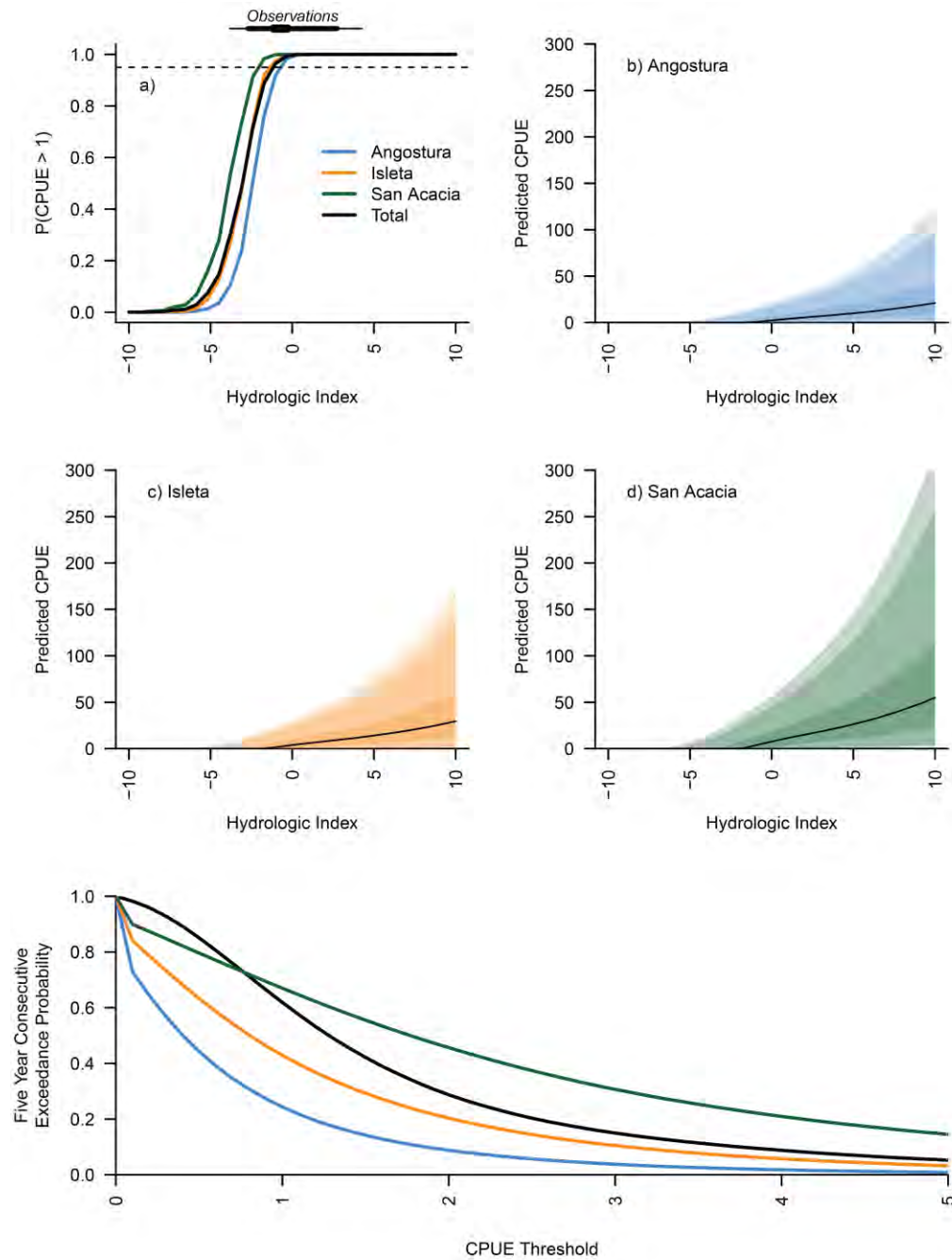
112

113 **Figure S2.5.** Predicted (boxplots) and observed (points) annual encounter probabilities for Rio Grande silvery
 114 minnow in the Angostura (a), Isleta (b) and San Acacia (c) reaches for the no latent trend model. The different
 115 widths of the boxplots represent the 95%, 50% and 10% prediction intervals.



116

117 **Figure S2.6.** Predicted mode of reach-specific RGSM catch per 100m² when they are present (a) and reach-specific
 118 probability of encountering RGSM (b) across a range of annual hydrologic indices (larger values indicate higher
 119 spring flows and less summer drying), and the posterior distributions of MCMC samples for reach specific carrying
 120 capacity (c) and baseline encounter probability (d) parameters for the no latent trend model. Posterior distribution of
 121 reach specific differences in K (e) and α (f) from the global mean value. The horizontal boxplot above (a) and (b)
 122 indicates the 95%, 50% and 10% ranges of observed hydrologic indices. Letters above boxes in (e) and (f) indicate
 123 significant differences.



124

125 **Figure S2.7.** Probabilities of Rio Grande silvery minnow catch per 100m² in each reach (colored lines) and across
 126 the whole MRG (black line) being greater than 1 across a range of annual hydrologic indices levels (a), and the
 127 predicted CPUE of Rio Grande silvery minnows at different hydrologic indices in the Angostura (b), Isleta (c) and
 128 San Acacia (d) reaches, and simulated probability of RGSM catch per 100m² exceeding different target thresholds
 129 for five consecutive years under the range of hydrologic conditions in the dataset for the no latent trend model. The
 130 horizontal boxplot above (a) indicates the 95%, 50% and 10% ranges of observed hydrologic indices and the
 131 horizontal dashed line indicates a 95% threshold. The polygons in (b-d) indicate the 95%, 90% and 50% simulation
 132 intervals and the line indicates the median predicted CPUE. Vertical dashed lines indicate different management
 133 targets.

134

135 *Age-0 Model*

136 The alternative model structure estimating age-0 RGSM abundance alters the base model
 137 by modifying equation [1a] to be:

$$\text{logit}(p_{ry}) = \alpha_r + \beta_p \phi_{ry} + \frac{\beta_L}{L + .01} \quad (1a)$$

$$\alpha_r \sim N(\mu_\alpha, \sigma_\alpha^2)$$

138 where L is the mean CPUE for all RGSM in the October samples from the previous year, and β_L
 139 is an estimated parameter. Additionally, C_{ry} no longer represents the site-specific CPUE of all
 140 RGSM, but only the age-0 RGSM. All other model components are the same as in the base
 141 model.

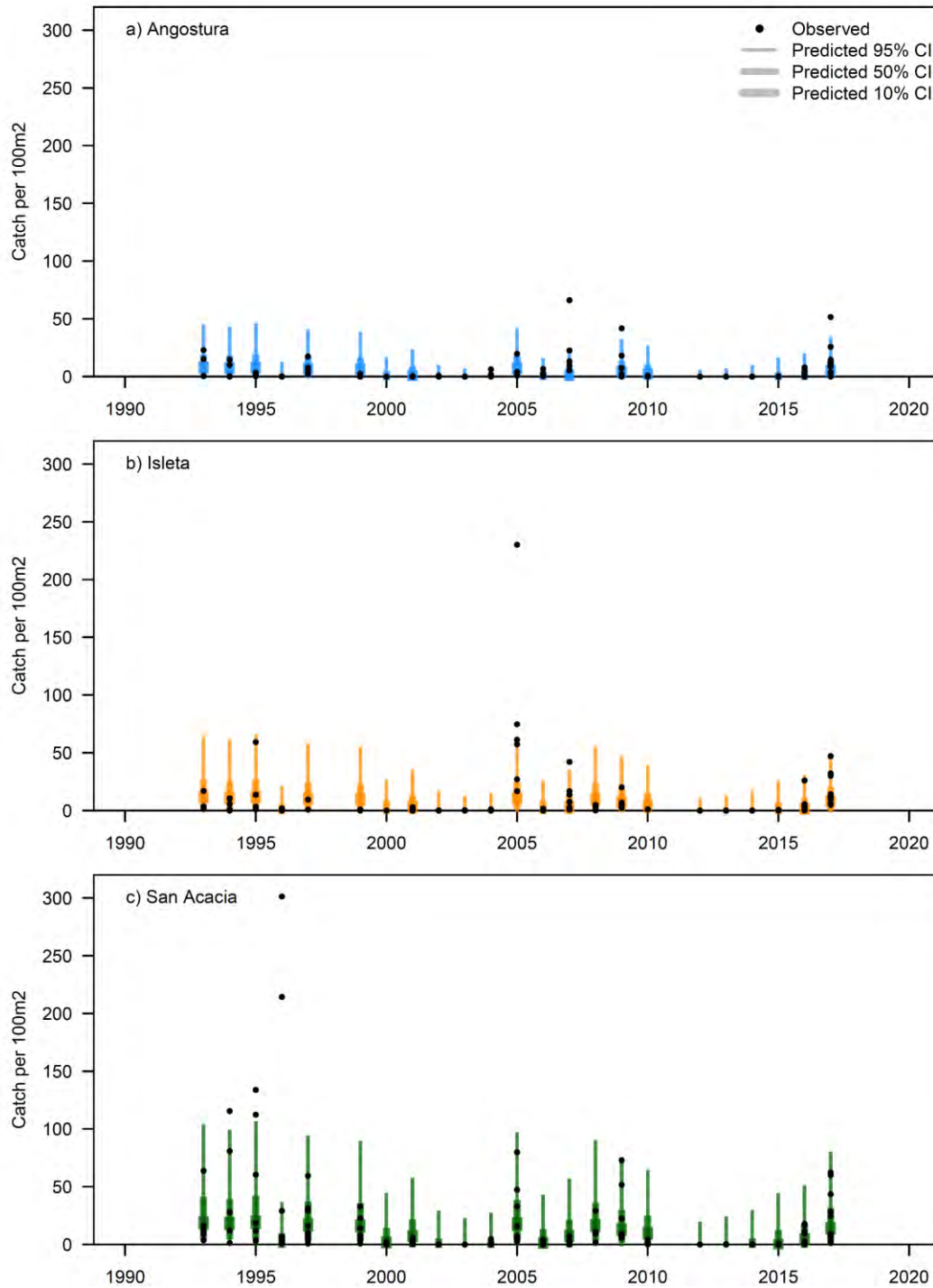
142 The age-0 model also fit the observed data well, capturing the nearly same number of
 143 observed CPUE values within the 95% credible intervals (97.3%; Fig. S2.8) as the base model.
 144 The age-0 trend model predicted annual probabilities of presence with narrower credible
 145 intervals than did the base model, and the 95% credible intervals were less likely to include the
 146 observed values (Fig. S2.9).

147 As with the base model, expected CPUE and expected probability of presence for the
 148 age-0 model both increased in years with greater hydrologic indices (i.e., wetter years; Fig.
 149 S2.10ab). Estimated “carrying capacity” for each reach had similar levels of uncertainty to the
 150 base model (Fig. S2.10c). Estimates of the baseline probability of presence (in logit space) were
 151 more constrained than in the base model (Fig. S2.10d). Similar to the base model, San Acacia
 152 was predicted to have the highest “carrying capacity”, with Isleta expected to have greater
 153 “carrying capacity” than Angostura (Fig. S2.10e). Additionally, San Acacia was estimated to
 154 have the greatest baseline probability of presence, while Isleta had greater probability of
 155 presence than Angostura (Fig. S2.10f)

156 Simulation experiments from the age-0 model predict both San Acacia and Isleta, as well
 157 as the MRG average, CPUE would meet recovery targets under near median hydrologic
 158 conditions from the period of record (Fig. S2.11a). Angostura is predicted to achieve the
 159 recovery threshold with 95% confidence under slightly above average hydrologic conditions.
 160 The distribution of predicted CPUE values for each reach increases across the range of
 161 hydrologic conditions examined, though the rate of increase slows once annual hydrologic
 162 indices reach values greater than 1 (Fig. S2.11bcd). However, large uncertainties in the carrying
 163 capacity parameter estimates drive increasing variation in prediction intervals under higher
 164 hydrologic indices. The range of predicted site-specific densities for each reach is lower than
 165 those predicted for the base model. Estimated probabilities of achieving CPUE targets for five
 166 consecutive years is very similar to the base model, when assuming the previous year had
 167 average densities (Fig. S2.11e). However, when the previous year’s RGSM density was very low

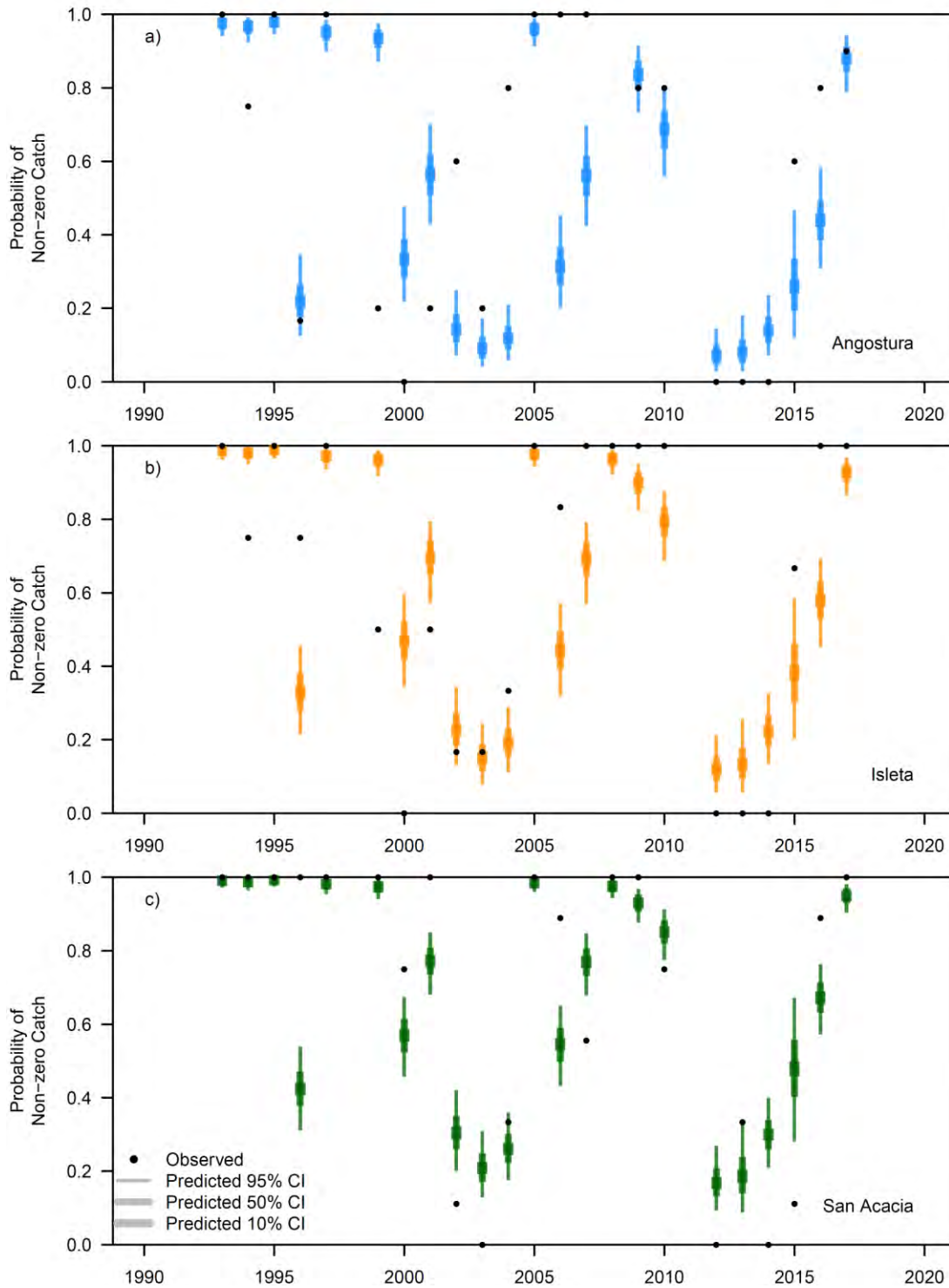
168 (i.e., zero), the probability of exceeding different target CPUE thresholds is reduced (Fig. 2.12).
 169 The effect of the previous year's CPUE rapidly diminishes as previous CPUE rises above zero.

170



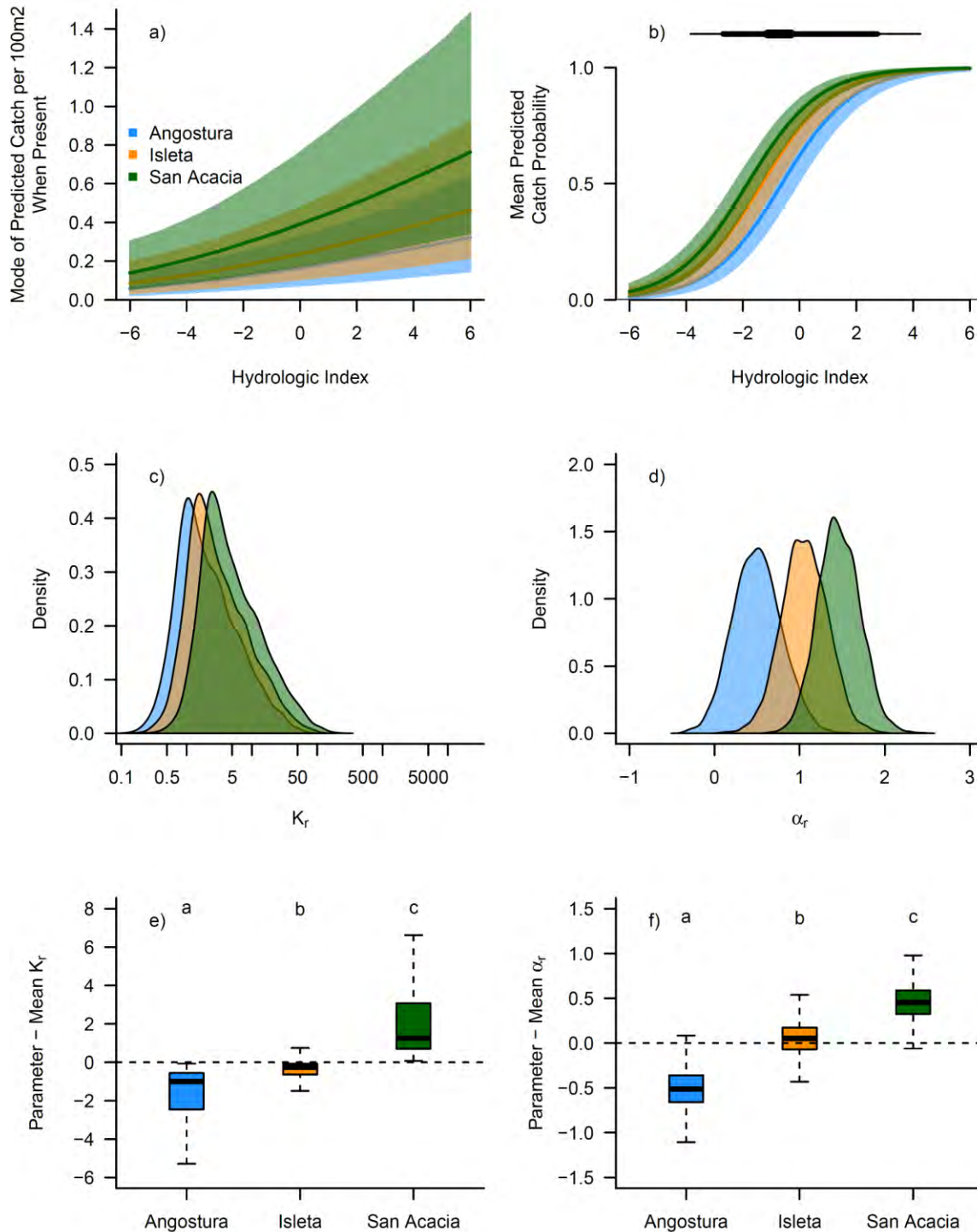
171

172 **Figure S2.8.** Predicted (boxplots) and observed (points) catch per 100m² for Rio Grande silvery minnow in the
 173 Angostura (a), Isleta (b) and San Acacia (c) reaches for the age-0 model. The different widths of the boxplots
 174 represent the 95%, 50% and 10% prediction intervals.



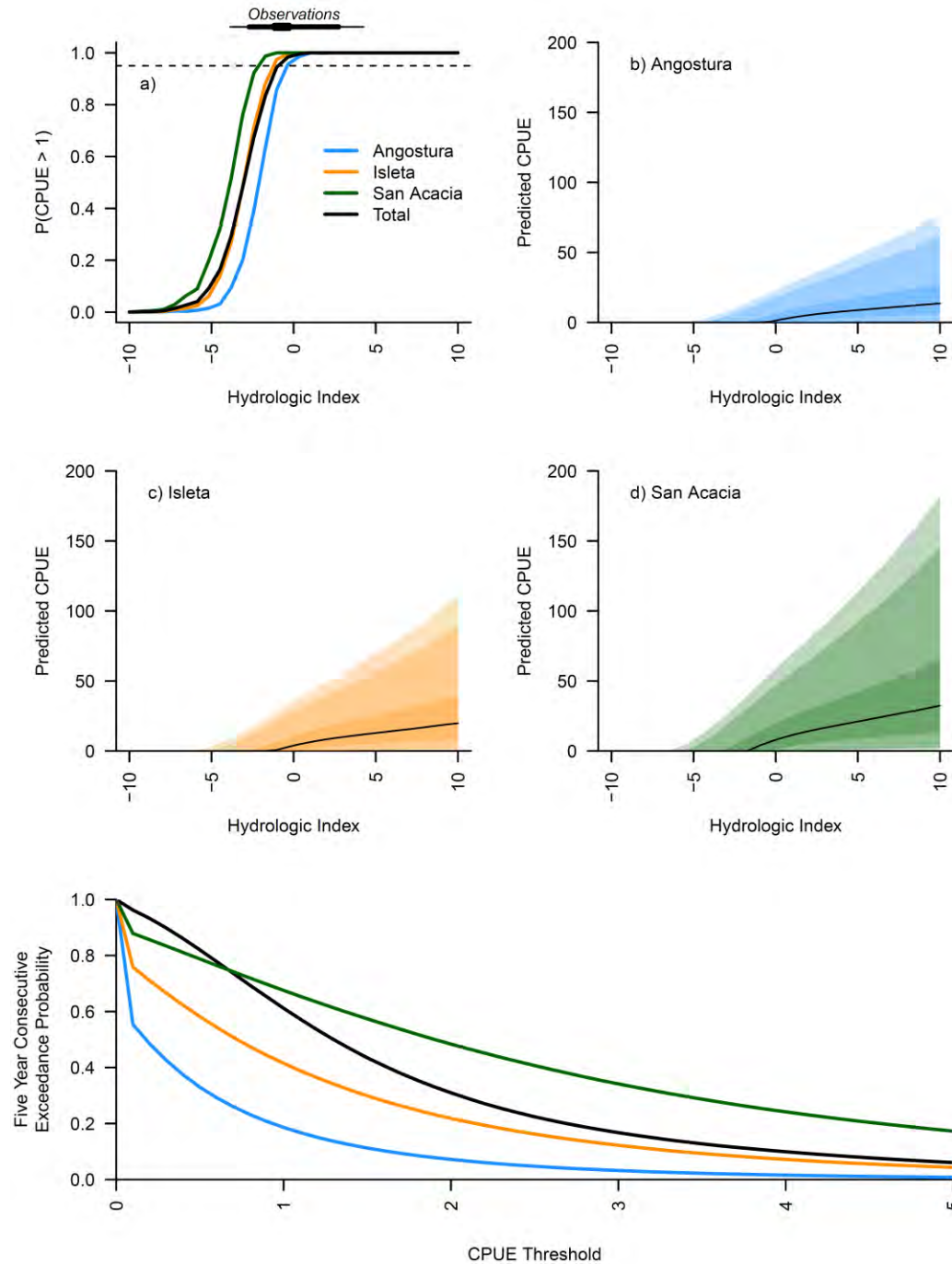
175

176 **Figure S2.9.** Predicted (boxplots) and observed (points) annual encounter probabilities for Rio Grande silvery
 177 minnow in the Angostura (a), Isleta (b) and San Acacia (c) reaches for the age-0 model. The different widths of the
 178 boxplots represent the 95%, 50% and 10% prediction intervals.



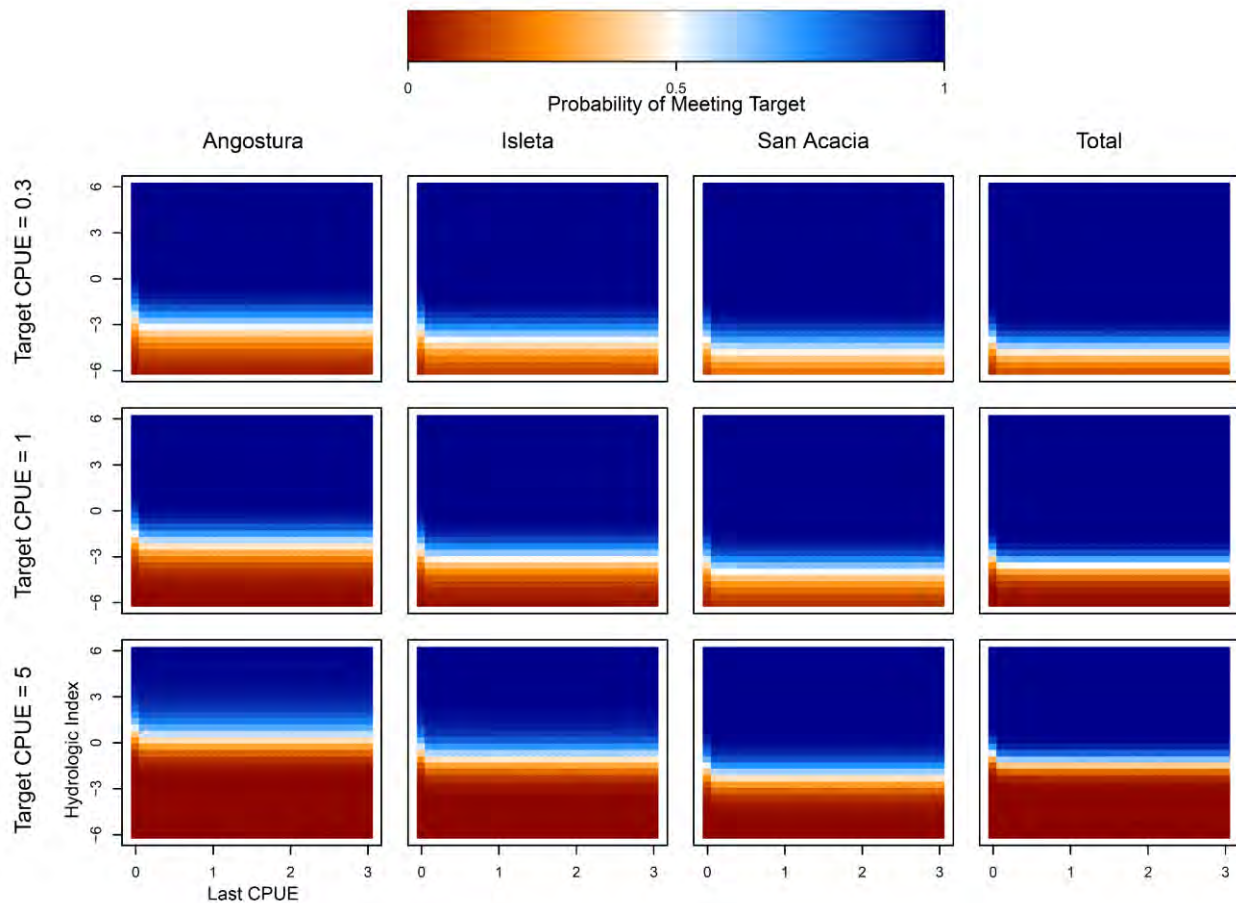
179

180 **Figure S2.10.** Predicted mode of reach-specific RGSM catch per 100m2 when they are present (a) and reach-
 181 specific probability of encountering RGSM (b) across a range of annual hydrologic indices (larger values indicate
 182 higher spring flows and less summer drying), and the posterior distributions of MCMC samples for reach specific
 183 carrying capacity (c) and baseline encounter probability (d) parameters for the age-0 model. Posterior distribution of
 184 reach specific differences in K (e) and α (d) from the global mean value. The horizontal boxplot above (a) and (b)
 185 indicates the 95%, 50% and 10% ranges of observed hydrologic indices. Letters above boxes in (e) and (f) indicate
 186 significant differences.



187

188 **Figure S2.11.** Probabilities of Rio Grande silvery minnow catch per 100m² in each reach (colored lines) and across
 189 the whole MRG (black line) being greater than 5 across a range of annual hydrologic index levels (a), and the
 190 predicted CPUE of Rio Grande silvery minnows at different hydrologic indices in the Angostura (b), Isleta (c) and
 191 San Acacia (d) reaches, and simulated probability of RGSM catch per 100m² exceeding different target thresholds
 192 for three consecutive years under the range of hydrologic conditions (e) in the dataset for the age-0 model. The
 193 horizontal boxplot above (a) indicates the 95%, 50% and 10% ranges of observed hydrologic indices and the
 194 horizontal dashed line indicates a 95% threshold. The polygons in (b-d) indicate the 95%, 90% and 50% simulation
 195 intervals and the line indicates the median predicted CPUE. Vertical dashed lines indicate different management
 196 targets.



197

198 **Figure S2.12.** Filled contour plots of the probability of exceeding different target CPUE thresholds under different
 199 annual hydrologic indices (y-axes) and different densities of RGSM in the previous year (x-axes). Predictions for the
 200 different reaches are organized by column: Angostura (far left), Isleta (center left), San Acacia (center right), and
 201 total MRG (far right). Predictions for different CPUE targets are organized by row: target CPUE of 0.3 RGSM per
 202 100m² (top), target CPUE of 1 RGSM per 100m² (center), and target CPUE of 5 RGSM per 100m² (bottom).

203

204

205 *Base Model structure incorporating both the Hydrologic and Flow Timing Indices*

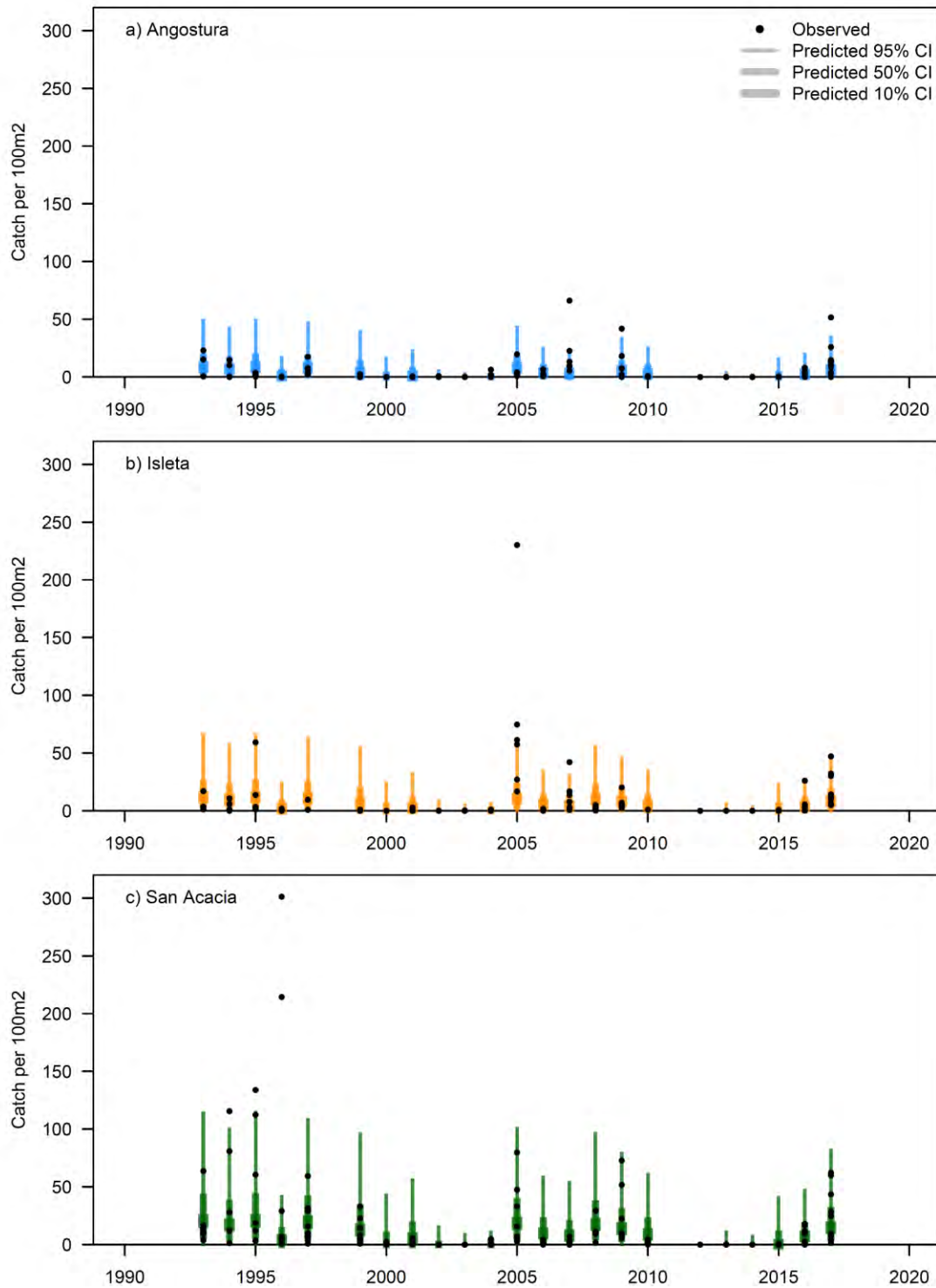
206 The base model incorporating both the annual hydrologic and flow timing indices fit
 207 predicted very similar CPUE dynamics as the base model incorporating only the hydrologic
 208 index (Figs. S2.13 and S2.14), and the predicted site-specific CPUE distributions captured 97.3%
 209 of the observed CPUE values. The model estimated a positive effect of the annual hydrologic
 210 index and a negative effect of the annual flow timing index on both presence and density of
 211 RGSM (i.e., later spring high flow peaks and less summer drying result in increased expected
 212 RGSM CPUE; Fig. S2.15abcd). As in the base model, the latent trend demonstrated a roughly
 213 decadal periodic pattern (Fig. S2.15e).

214 Estimated reach-specific “carrying capacities” were smaller than those estimated in the
215 base model (Fig. S2.16a), though estimated baseline probabilities of presence (in logit space)
216 were similar to the base model (Fig. S2.16b). Additionally, San Acacia had a significantly
217 greater “carrying capacity” and baseline probability of presence than the other two reaches, and
218 Isleta had a significantly greater “carrying capacity” and baseline probability of presence than
219 Angostura (Fig. S2.16cd).

220 The estimated probability of exceeding a range of CPUE targets across five consecutive
221 years under hydrologic conditions drawn from the period of record was slightly lower than
222 estimated for the base model, though the trends among reaches were very similar (Fig. S2.16e).
223 The annual hydrologic index had a greater impact on the probability of meeting CPUE targets
224 within a single year than did the flow timing index (Fig. S2.17), though both drivers caused
225 substantial changes in exceedance probability. However, while we explored the same range of
226 values across both indices in our simulations, the range of observed values on the flow timing
227 index were narrower than for the hydrologic index and both were narrower than the range
228 simulated.

229

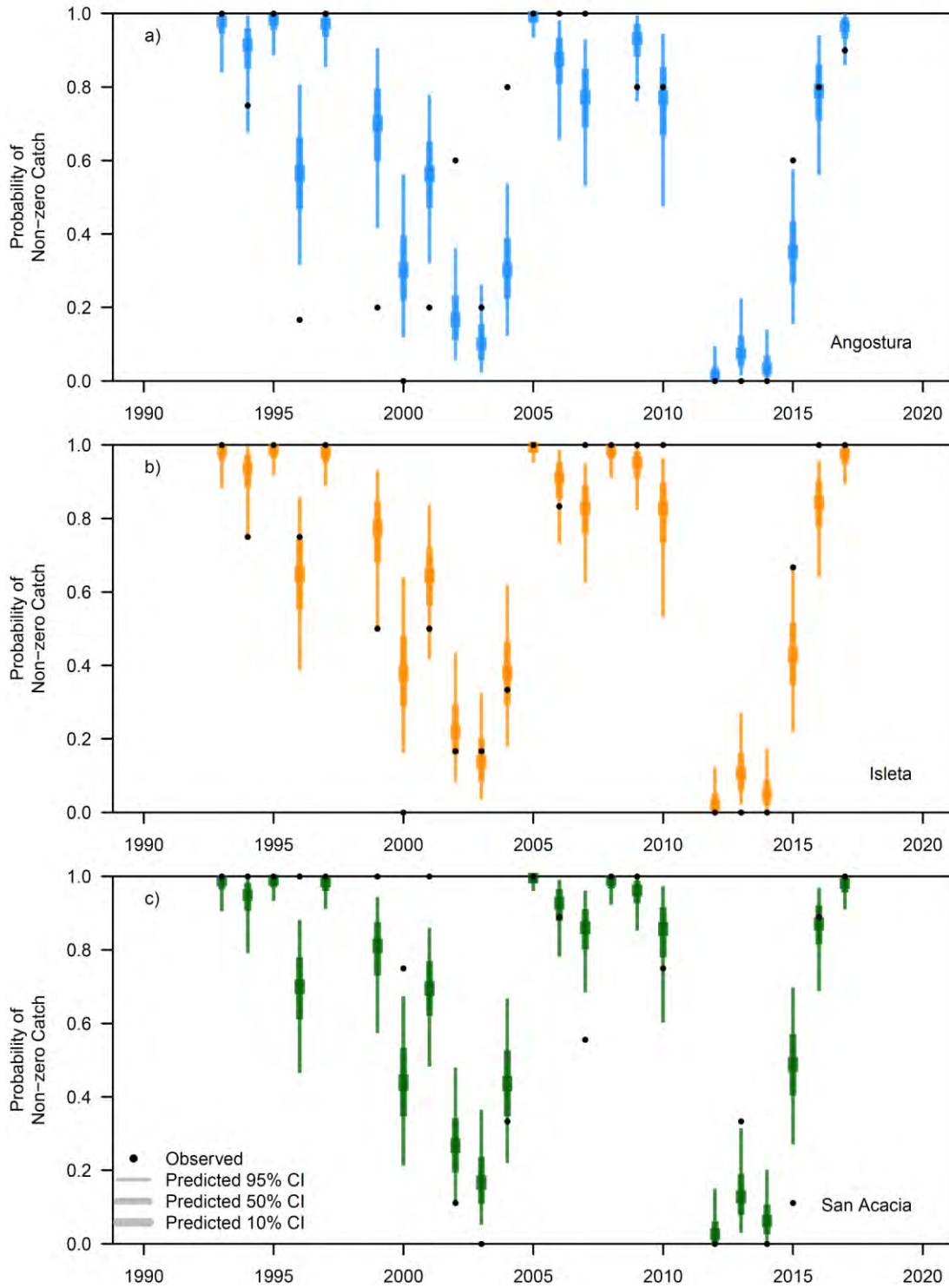
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231

232 **Figure S2.13.** Predicted (boxplots) and observed (points) catch per 100m² for Rio Grande silvery minnow in the
 233 Angostura (a), Isleta (b) and San Acacia (c) reaches for the base model incorporating both the annual hydrologic and
 234 flow timing indices. The different widths of the boxplots represent the 95%, 50% and 10% prediction intervals.

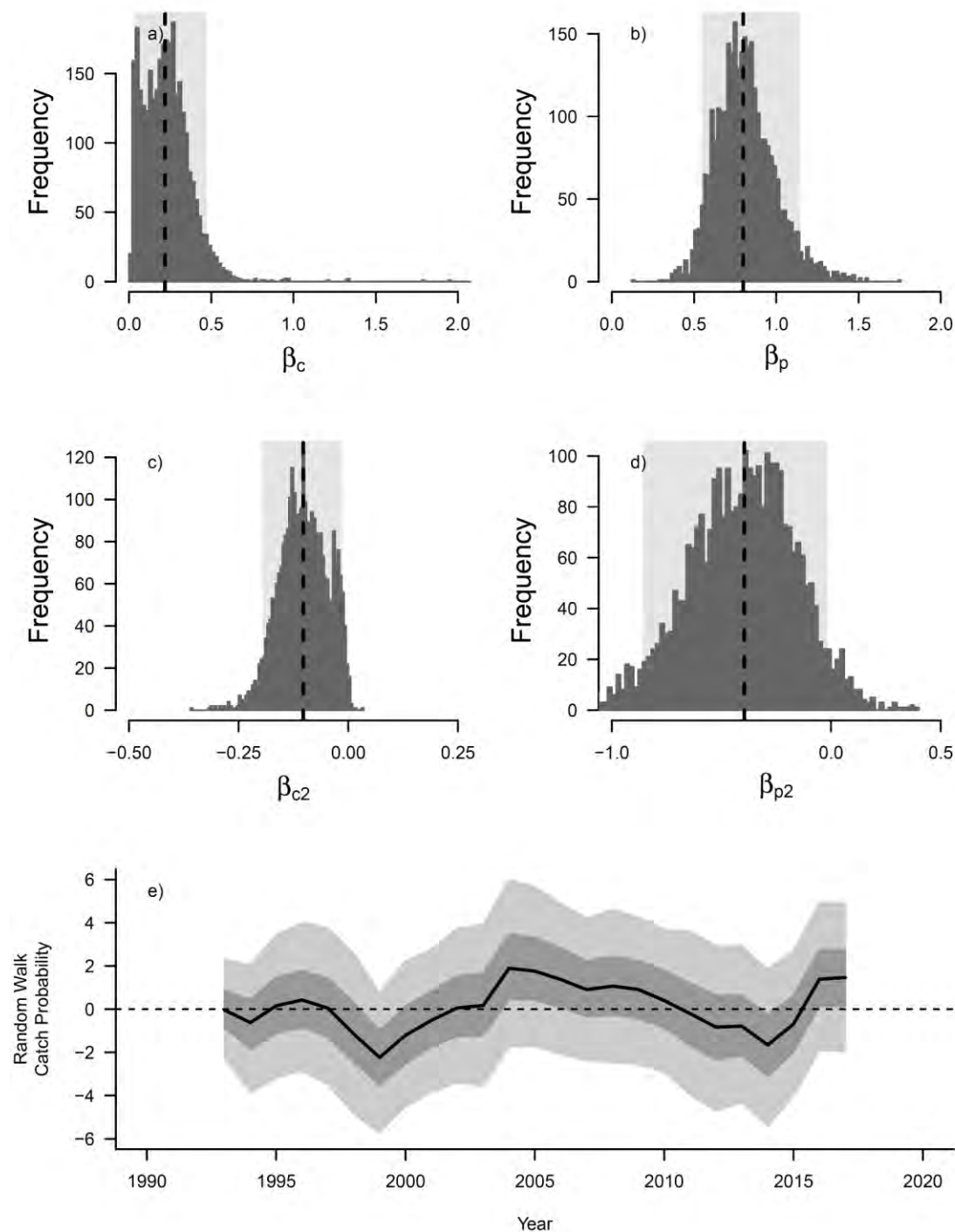
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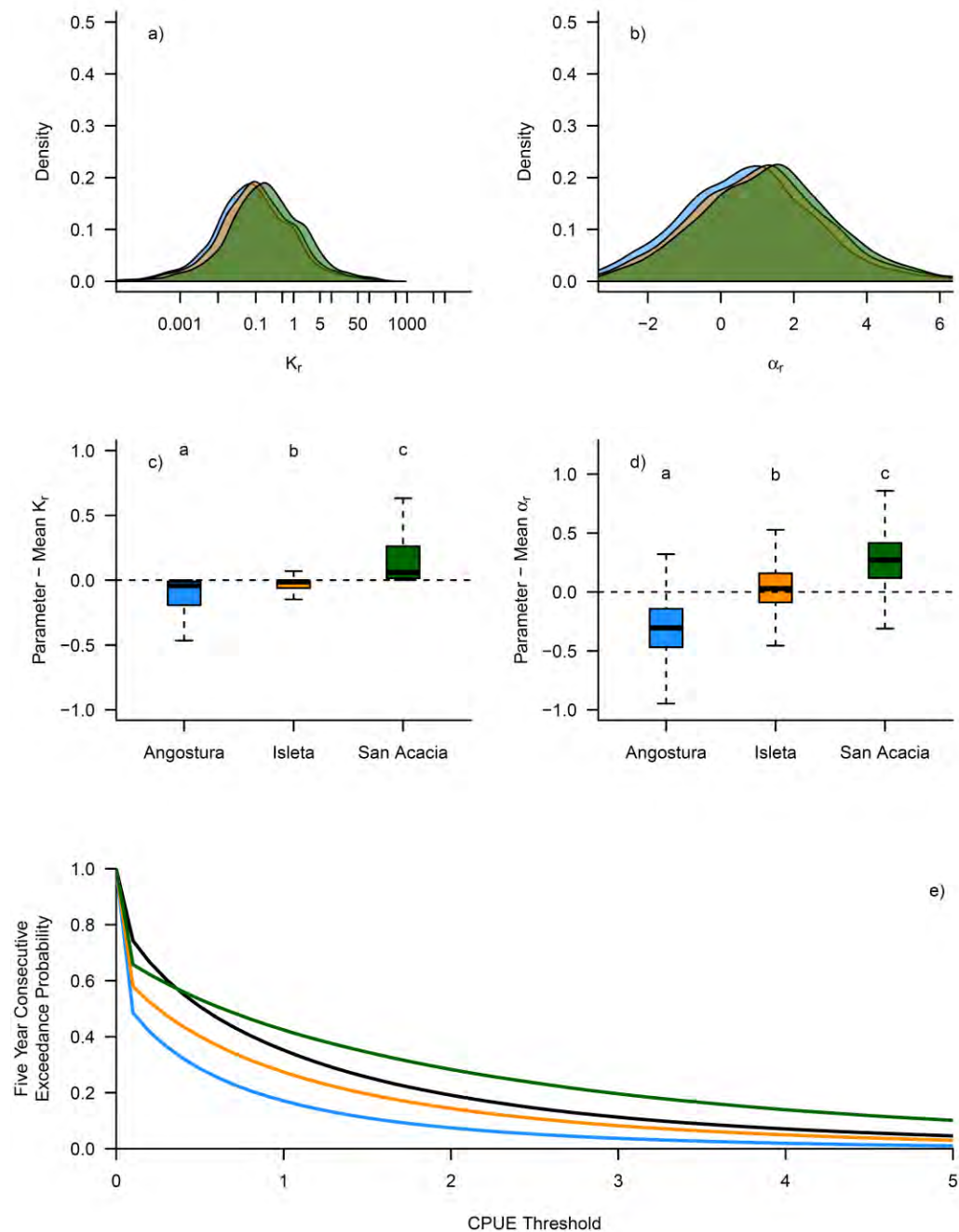
237 **Figure S2.14.** Predicted (boxplots) and observed (points) annual encounter probabilities for Rio Grande silvery
 238 minnow in the Angostura (a), Isleta (b) and San Acacia (c) reaches for the base model incorporating both the annual
 239 hydrologic and flow timing indices. The different widths of the boxplots represent the 95%, 50% and 10%
 240 prediction intervals.

241



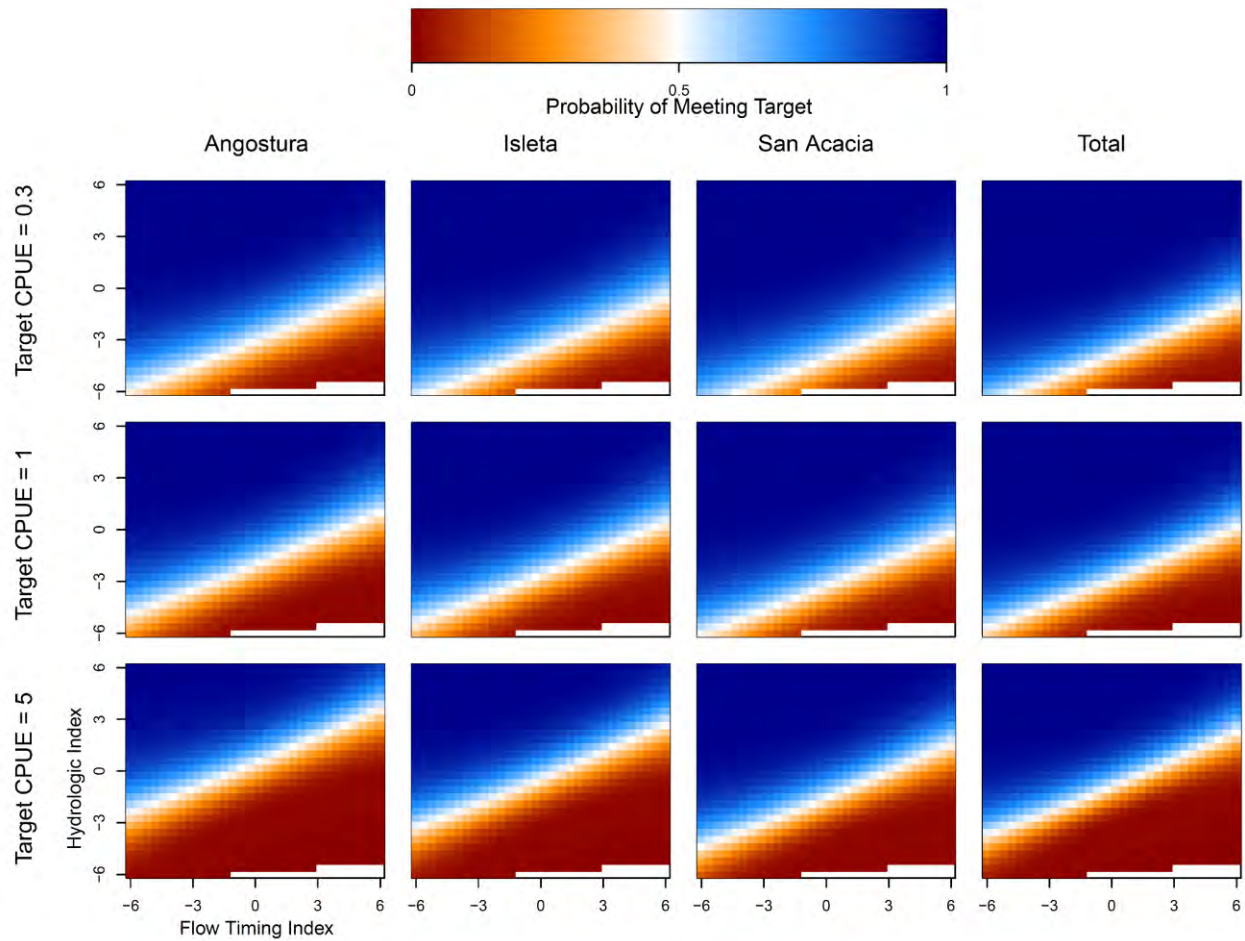
242

243 **Figure S2.15.** Posterior distributions of parameter estimates from the base model incorporating both the annual
 244 hydrologic and flow timing indices for the effect of the annual hydrologic index on density (a) and presence (b), the
 245 effect of the flow timing index on density (c) and presence (d), and annual values of the latent trend (e). Shaded grey
 246 boxes in panels a-d indicate the 95% credible intervals and the vertical dashed line indicates the median parameter
 247 estimate. For the latent trend, the light (dark) grey polygon indicates the 95% (50%) credible interval and the solid
 248 grey line indicates the median estimate.



249

250 **Figure S2.16.** Posterior distributions of MCMC samples for reach specific carrying capacity (a) and baseline
 251 encounter probability (b) parameters for the base model incorporating both the annual hydrologic and flow timing
 252 indices, posterior distribution of reach specific differences in K (c) and α (d) from the global mean value, and
 253 simulated probability of RGSM catch per 100m² exceeding different target thresholds for five consecutive years
 254 under the range of hydrologic conditions (e) in the dataset for the base model incorporating both the annual
 255 hydrologic and flow timing indices. Letters above boxes in (c) and (d) indicate significant differences.



256

257 **Figure S2.17.** Filled contour plots of the probability of exceeding different target CPUE thresholds under different
 258 annual hydrologic indices (y-axes; positive values indicate larger spring high flow events and less summer drying)
 259 and annual flow timing indices (x-axes; positive values indicate earlier spring high flow peaks and more summer
 260 drying) from the base model incorporating both the annual hydrologic and flow timing indices. Predictions for the
 261 different reaches are organized by column: Angostura (far left), Isleta (center left), San Acacia (center right), and
 262 total MRG (far right). Predictions for different CPUE targets are organized by row: target CPUE of 0.3 RGSM per
 263 100m² (top), target CPUE of 1 RGSM per 100m² (center), and target CPUE of 5 RGSM per 100m² (bottom).

264

Response to Reviewer Comments on Draft Report

Hydrologic controls on abundance and distribution of the endangered Rio Grande silvery minnow in the Middle Rio Grande

Authors: Timothy E. Walsworth and Phaedra Budy

In May 2020, we submitted a second draft report of our analysis of the hydrologic controls on the abundance and distribution of Rio Grande Silvery Minnow in the Middle Rio Grande to the U.S. Bureau of Reclamation Albuquerque Office, which was shared with other stakeholders, management agencies and collaborators. We received valuable feedback from one reviewer, whose comments were very insightful and addressing their concerns has tightened our report.

Below, we address each of the reviewer's comments which required a response. Reviewer comments are presented as numbered items, followed by our response in bold.

Reviewer Comments

Thank you for the opportunity to review and provide comments on the Final Report "Hydrologic controls on abundance and distribution of the endangered Rio Grande silvery minnow in the Middle Rio Grande" by Drs. Timothy E. Walsworth and Phaedra Budy of Utah State University.

The comments provided below address the Phase 2 Final Report to the Bureau of Reclamation, dated May 8, 2020 (Walsworth and Budy 2020a), and the associated Appendix A (Walsworth and Budy 2020b) and Appendix B (Walsworth and Budy 2020c).

General Comments:

1. Drs. Walsworth and Budy have done an excellent job of developing a mathematical model that will help Reclamation and the Collaborative Program evaluate hydrologic control options for the Middle Rio Grande. The Final Report and associated appendices are very informative and will help stakeholders make decisions that better balance water management with conservation of the endangered Rio Grande Silvery Minnow.
2. The NMISC appreciates the thorough manner in which Drs. Walsworth and Budy have addressed our previous comments on the Draft Report, particularly the comment on timing of spring runoff. We note that PC2 is referenced as the "*flow timing index*" in the Final Report and further explained in Appendix A as a way to use the model to possibly evaluate the timing or spring runoff. We look forward to further evaluation of the timing, magnitude, and duration of spring runoff.
 - a. **In addition to the description in Appendix A, we also explore the predictive ability of the flow timing index in Appendix B. As that model had much**

weaker support by WAIC, we focus the main document on the models incorporating only the hydrologic index.

3. The NMISC supports further development and implementation of this model, and continued collaboration with the “Integrated Population Model for Rio Grande Silvery Minnow” being developed by Dr. Charles Yackulic (2018).
4. This Final Report is a valuable document that is well written and well-reasoned. One over-riding concern that we have is use of the 5 fish/100 m² as the metric for species recovery. As noted in our earlier comments, this metric was not developed quantitatively or from a demographic modeling process. It was decided as a consensus of the authors of the 2010 Recovery Plan for the Rio Grande Silvery Minnow (USFWS 2010). The authors used a RAMAS Population Viability Analysis (PVA) to derive estimates of carrying capacity, but did not derive the 5 fish/100 m² from that PVA. It is unknown if the metric is a realistic index of population abundance necessary for recovery, and merits evaluation before it is further used in evaluating actions necessary for recovery.
 - a. **We report the probabilities of meeting a range of October CPUE targets in subsequent figures. As we are not attempting to identify what CPUE targets would indicate recovery, but instead developing a model that can estimate under what conditions any given recovery target is likely to be met, we believe our analyses are highly valuable. We have shifted the focus of the analyses to the 1 RGSM per 100 m² target, though we still discuss the recovery target. As this is the target set in the official recovery plan, we believe it deserves treatment in our analysis.**
5. The model examines the probability of individual reaches achieving a range of CPUE targets for three consecutive years under contemporary hydrologic conditions. The downlisting criteria for the Recovery Plan (USFWS 2010) specifies that the October CPUE from all monitoring sites within each reach should be > 5 fish/100 m² for “at least 5 consecutive years.” If the model is to be used to evaluate the 5 fish/100 m² in the context of recovery, we suggest running the hydrology scenarios for 5 and not 3 years.
 - a. **We thank the reviewer for the clarification. We re-ran this analysis for five consecutive years instead of three. The general patterns remained the same, though probabilities of exceeding the different management and conservation thresholds decreased, as expected. All figures and text have been updated.**
6. The model determined that 5 fish/100 m² for three consecutive years could be met in the San Acacia and Isleta reaches under a range of flows currently seen in the MRG. It would be helpful for water managers to have the flow index translated to a flow exceedance analysis to better understand the range of flows necessary to achieve the criteria. This should be done for five consecutive years.

- a. We agree that such a hydrologic analysis would be beneficial to managers, but it is beyond the scope of this report. It could potentially be incorporated into the third phase of this project.**
7. The prior report by Budy and Walsworth (2019) provided a comprehensive analytical review of the discharge to CPUE relationship used to derive the criteria for genetic viability (0.3 fish/100 m²) and demographic self-sustainability (1.0 fish/100 m²) for the RGSM. These criteria, as identified in Appendix A of the 2016 BiOp (USFWS 2016), are used as levels of “incidental take” not to be exceeded by the Proposed Action. These are the criteria that we would like to see evaluated, rather than the criteria of the Recovery Plan, which have no basis in development.
 - a. We have changed the figures to focus on the 1 RGSM per100 m2. We still reference multiple different targets in some figure panels and in the discussion, but the focus is now on the threshold of 1.**
8. The latent trend analysis showed the strong influence of an unknow and unobserved driver that may be related to regional climate variation or spawner-recruit dynamics. We hope that future evaluations with this model will help to identify and parse this driver, as either of the identified possible relations are important in the context of water and species management.
 - a. We would like to explore this further in future analyses (potentially in Phase 3). We have attempted to examine one of the possible drivers (spawner abundance/carryover) in Appendix B.**

Specific Comments:

1. On lines 159 and 160 of the Final Report, the statement is made that “Newly recruited age-0 RGSM become available to capture in the fall and summer low flow conditions have generally abated by this time.” This sentence is confusing, as the age-0 RGSM first recruit into the seine gear in June or July, as can be seen in the database.
 - a. We thank the reviewer for the suggestion and have changed this sentence to “Newly recruited age-0 RGSM have survived the harshest summer drying conditions, flow variability is lowest, and are available for capture by sampling crews.”**
2. Between lines 173 and 191, it should be clearly stated that the drying metric used is an index of drying and not a reflection of actual length and duration of drying.
 - a. We have added a sentence “While this metric provides a useful index of summer drying, it does not indicate the actual length and duration of drying.”**
3. On lines 207 and 208, it is unclear if the capture probability corresponds to actual p-hat or to the occurrence of RGSM in mesohabitats. Low occurrence and CPUE of RGSM

from runs and riffles may be more of a reflection of numbers of fish present in those habitats, rather than capture probability.

- a. We have added a sentence to the end of this paragraph “While the probability of capturing RGSM likely varies among mesohabitats (due to depth, velocity, connectivity), this model does not explicitly account for this variation as mesohabitat samples are combined by site in our analysis. Any variation in capture probabilities among mesohabitat types or differences in mesohabitat composition among sites will be accounted for in the variance of p_{ry} .”**
4. In lines 226 to 228, it would be helpful to point out that the analysis was done for only October samples, a time when flow variability is the lowest. The assumption that catch rate and capture probability are not impacted by discharge at the time of sampling would not apply to other months of the year.
 - a. We have clarified that we are modeling October CPUE. Additionally, we now describe the benefits of low flow variability in the previous paragraph, and that any remaining effect of discharge on capture probability will be accounted for in the parameter variance.**
5. On lines 368 to 370, an important part of water management in the MRG will be to reconcile where to put water--in the spring for higher or longer runoff, or in summer to reduce rate, extent, and duration of drying. It would be helpful if future modeling exercises could include this analysis, as it is probably one of the most important aspects of water management in the MRG.
 - a. We agree that this will be an important part of water management decisions and fully intend to incorporate this trade-off as part of the analysis in Phase 3. We describe the benefits of exploring alternative management scenarios in the final two paragraphs of the discussion.**
6. On lines 380 to 384, the idea of large-scale experimentation may be possible in a system with hydrological flexibility, but this is not likely in the MRG. Instead of designed experiments, the better approach will be condition-dependent experiments.
 - a. We plan to explore both condition-dependent and large-scale experimental approaches in Phase 3 of this project. If simulations identify a large-scale experimental approach that is logistically challenging but expected to provide benefits for both RGSM populations and off-stream water users, managers and stakeholders can then determine if they want to attempt such an experiment.**
7. On lines 388 to 390, comparing the Angostura Reach with the other reaches during drying is an interesting way to look at the reaches. Another important consideration is the offsetting effect that habitat restoration in the Angostura Reach could have on CPUE.

- a. As we have not modeled habitat restoration explicitly in our models we do not discuss it here, though the reviewer is correct that habitat restoration may allow Angostura to produce more RGSM than it currently does under the same hydrologic conditions. However, the scale at which such restoration would be required to elicit a population level response is potentially very large.**
- 8. On lines 407 to 411, the finding that CPUE and annual flows are non-linear is important given that better water management options may be available within the range of observed discharges. We look forward to further evaluation of this aspect of water management.
 - a. We agree that this is an important result and it will be on of the foci of our Phase 3 analyses.**